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|             |      | same owner\$1) and 707/\$.ccls.                  | EPO; JPO; |            |
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| 3           | 25   | "mutual exclusion" and ((thread\$1 or            | USPAT;    | 2004/07/20 |
|             |      | process\$2 or (multiple near2 thread\$1))        | US-PGPUB; | 14:12      |
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| 4           | 44   | ("mutual exclusion" or mutexes) and              | USPAT;    | 2004/07/20 |
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#### Lock reservation: Java locks can mostly do without atomic operations

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#### **↑ ABSTRACT**

Because of the built-in support for multi-threaded programming, Java programs perform many lock operations. Although the overhead has been significantly reduced in the recent virtual machines, One or more atomic operations are required for acquiring and releasing an object's lock even in the fastest cases. This paper presents a novel algorithm called lock reservation. It exploits thread locality of Java locks, which claims that the locking sequence of a Java lock contains a very long repetition of a specific thread. The algorithm allows locks to be reserved for threads. When a thread attempts to acquire a lock, it can do without any atomic operation if the lock is reserved for the thread. Otherwise, it cancels the reservation and falls back to a conventional locking algorithm. We have evaluated an implementation of lock reservation in IBM's production virtual machine and compiler. The results show that it achieved performance improvements up to 53% in real Java programs.

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#### ↑ INDEX TERMS

#### **Primary Classification:**

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PATENT ASSIGNEE:

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INTERNATIONAL PATENT CLASS: G06F-009/46

#### ABSTRACT EP 969369 A2

A program controlled apparatus includes one or more units for executing a multiple process. A mutex ordering mechanism controls the ordering of mutex ownership to provide deterministic execution of the processes. A mutex processor monitors mutex registers for determining mutex ownership. The mutex registers can be configured as sets of mutex request registers and mutex release registers. The apparatus may include a single processor configured to execute multiple processes concurrently, or multiple processing units, each configured to execute one or more processes. A monitor unit which can monitor equivalent operation of the processing sets can also include the mutex ordering mechanism.

ABSTRACT WORD COUNT: 102

NOTE:

Figure number on first page: 12

LEGAL STATUS (Type, Pub Date, Kind, Text):

Assignee:

030423 A2 Transfer of rights to new applicant: Sun Microsystems, Inc. (2616592) 4150 Network Circle Santa Clara, California 95054 US

Application: 20000105 A2 Published application without search report Search Report: 040107 A3 Separate publication of the search report LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY:

Word Count Available Text Language Update 200001 671 CLAIMS A (English) 200001 13522 SPEC A (English) Total word count - document A 14193 Total word count - document B 0 Total word count - documents A + B 14193

...SPECIFICATION to achieve deterministic execution therefor. This can enable fault tolerance to be built into many multi - process ( multi - threaded ) processing environments including, for example, networked

fault tolerant systems.

A mutex processor can be operable to monitor mutex registers for determining mutex ownership. By controlling the access to the mutex registers, that is the ownership thereof, a deterministic ordering of mutex processing can be achieved.

The **mutex** registers can be configured as sets of mutex request registers and mutex release registers.

#### 27/5,K/2 (Item 2 from file: 348)

DIALOG(R) File 348: EUROPEAN PATENTS

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#### 00779185

Method and apparatus for crash safe enforcement of mutually exclusive access to shared resources in a multitasking computer system

Verfahren und Gerat zur absturzsicheren Durchfuhrung von gegenseitigem exklusivem Zugang zu gemeinsamen Betriebsmitteln in einer Multitaskrechnerumgebung

Procede et dispositif pour l'execution en toute securite mutuellement exclusif aux ressources partagees dans un environnement d'ordinateur multitaches

PATENT ASSIGNEE:

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INVENTOR:

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Lieuwen, Daniel Francis, 229 Livingston Avenue, New Providence, New Jersey 07974, (US)

Gava, Jacques, 917B East Front Street, Plainfield, New Jersey 07062, (US) Sudarshar, Sundararajarao, 229 Livingston Avenue, Floor 2, New Providence, New Jersey 07974, (US)

LEGAL REPRESENTATIVE:

Watts, Christopher Malcolm Kelway, Dr. et al (37391), Lucent Technologies (UK) Ltd, 5 Mornington Road, Woodford Green Essex, IG8 0TU, (GB)

PATENT (CC, No, Kind, Date): EP 727742 A2 960821 (Basic)

APPLICATION (CC, No, Date): EP 96300823 960207;

PRIORITY (CC, No, Date): US 390179 950217

DESIGNATED STATES: DE; FR; GB; SE

INTERNATIONAL PATENT CLASS: G06F-009/46;

#### ABSTRACT EP 727742 A2

A fast crash safe method and apparatus for enforcing mutually exclusive access to shared resources in a computer system through the use of semaphores. The acquisition and release of the semaphores is implemented at the user process level. An overestimate and underestimate of semaphore ownership are maintained in memory by library provided semaphore acquisition and release code. A cleanup routine reconciles the overestimate and underestimate to determine the ownership status of the semaphores. (see image in original document)

ABSTRACT WORD COUNT: 93

LEGAL STATUS (Type, Pub Date, Kind, Text):

Application: 960821 A2 Published application (Alwith Search Report; A2without Search Report)

Withdrawal: 980107 A2 Date on which the European patent application was withdrawn: 971024

LANGUAGE (Publication, Procedural, Application): English; English; English

#### FULLTEXT AVAILABILITY:

Available Text Language Update Word Count
CLAIMS A (English) EPAB96 1685
SPEC A (English) EPAB96 7100
Total word count - document A 8785
Total word count - document B 0
Total word count - documents A + B 8785

- ...CLAIMS whether the semaphore record is available,
  - b) an owner identification for registering ownership of the  $\operatorname{\mathbf{semaphore}}$  record, and
  - c) cleanup indicia for indicating whether the **semaphore** record is being evaluated by a cleanup means;
  - a plurality of processes executing on said at least one processing unit. said processes requesting and acquiring exclusive access to said plurality of shared resources by requesting and acquiring ownership of said semaphore records; and
  - a plurality of semaphore access records stored in said memory unit, each of said semaphore access records associated with one of said plurality of processes, for identifying a semaphore record which the process associated with said semaphore access record wants to acquire ownership of;
  - wherein said cleanup means further comprises means for determining whether a **semaphore** record is owned by a process which has crashed by using said information in said...
- ...at least one processing unit for storing a plurality of data structures;
  - a plurality of semaphore records stored in said memory unit;
  - a plurality of processes executing on said at least one processing unit, said processes requesting and acquiring exclusive access to said data structures by requesting and acquiring ownership of said semaphore records;
  - means for maintaining an underestimate of **semaphore** ownership in said memory unit; and
  - means for maintaining an overestimate of **semaphore** ownership in said memory unit; and
  - cleanup means operating on said semaphore records for determining...
- ...including a memory unit, connected to said at least one processing unit;
  - a plurality of semaphore records stored in said memory unit;
  - a plurality of processes executing on said at least one processing unit, said processes requesting and acquiring exclusive access to said shared resources by requesting and acquiring ownership of said semaphore records;
  - means for maintaining an undersestimate of semaphore ownership in said memory unit;
  - means for maintaining an overestimate of **semaphore** ownership in said memory unit; and
  - cleanup means operating on said semaphore records for determining...

#### 27/5,K/3 (Item 3 from file: 348)

DIALOG(R) File 348: EUROPEAN PATENTS

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00693431

Method and system for managing ownership of a released synchronization mechanism

System und Verfahren fur die Eigentumerverwaltung eines freigegebenen Synchronisationsmechanismus

Procede et systeme pour gerer l'allocation d'un mecanisme de synchronisation apres sa liberation

PATENT ASSIGNEE:

MICROSOFT CORPORATION, (749861), One Microsoft Way, Redmond, Washington 98052-6399, (US), (Proprietor designated states: all)

INVENTOR:

Toutonghi, Michael, 5413 Village Park Drive No.2838, Bellevue, Washington 98006, (US)

LEGAL REPRESENTATIVE:

Grunecker, Kinkeldey, Stockmair & Schwanhausser Anwaltssozietat (100721), Maximilianstrasse 58, 80538 Munchen, (DE)

PATENT (CC, No, Kind, Date): EP 661633 A1 950705 (Basic)

EP 661633 B1 011107

APPLICATION (CC, No, Date): EP 94120347 941221;

PRIORITY (CC, No, Date): US 176132 931223

DESIGNATED STATES: DE; FR; GB

INTERNATIONAL PATENT CLASS: G06F-009/46

CITED REFERENCES (EP B):

OPERATING SYSTEMS REVIEW (SIGOPS), vol.25, no.1, January 1991, NEW YORK, US pages 4 - 18 S. HALDAR AND D. K. SUBRAMANIAN: 'FAIRNESS IN PROCESSOR SCHEDULING IN TIME SHARING SYSTEMS'

H.M. DEITEL & M.S. KOGAN: 'The Design of OS/2' 1992 , ADDISON-WESLEY
PUBLISHING COMPANY , READING, MASSACHUSETTS, USA \* page 108, paragraph
2 - page 113, paragraph 2 \* \* page 115, paragraph 3 - page 117, last
paragraph; figure 5.5 \* \* page 123, paragraph 3 - page 125, paragraph 2
\* \* page 132, paragraph 3 - page 135, last paragraph \*

RESEARCH DISCLOSURE, no.316, August 1990, HAVANT, GB page 668 DISCLOSURE NUMBER 31691: 'Synchronization of Resource Access';

#### ABSTRACT EP 661633 A1

A method and system for managing ownership of a released synchronization mechanism is provided. In a preferred embodiment, a number of entities, such as threads, are attempting to acquire the synchronization mechanism when the synchronization mechanism becomes available. Each of the entities has a priority indicator that indicates the relative urgency of the attempt by the entity to acquire the synchronization mechanism. The method and system first identifies one of the entities attempting to acquire the synchronization mechanism that has the priority indicator that indicates that its attempt to acquire the synchronization mechanism is of the highest urgency. The method and system then determines whether any entity attempted to acquire the synchronization mechanism during a foregoing selected period of time. If an entity has attempted to acquire the synchronization mechanism during the selected period of time, then the method and system assigns ownership of the synchronization mechanism to the identified entity. If no entity has attempted to acquire the synchronization mechanism during the selected period of time, then the method and system defers the assignment of ownership of the synchronization mechanism to a later time. (see image in original document)

ABSTRACT WORD COUNT: 192

NOTE:

Figure number on first page: 4

LEGAL STATUS (Type, Pub Date, Kind, Text):

Grant: 011107 B1 Granted patent

Application: 950705 A1 Published application (Alwith Search Report

;A2without Search Report)

Oppn None: 021030 Bl No opposition filed: 20020808

Examination: 960306 Al Date of filing of request for examination:

960104

Examination: 990303 Al Date of despatch of first examination report: 990114

LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY:

| Available Text  | Language Update     | Word Count |
|-----------------|---------------------|------------|
| CLAIMS A        | (English) EPAB95    | 2015       |
| CLAIMS B        | (English) 200145    | 1272       |
| CLAIMS B        | (German) 200145     | 1258       |
| CLAIMS B        | (French) 200145     | 1427       |
| SPEC A          | (English) EPAB95    | 4994       |
| SPEC B          | (English) 200145    | 4856       |
| Total word coun | t - document A      | 7010       |
| Total word coun | t - document B      | 8813       |
| Total word coun | t - documents A + E | 3 15823    |

...CLAIMS the synchronization mechanism and ending at the time that the formerly owning thread released the synchronization mechanism, assigning ownership of the synchronization mechanism to the identified one of the plurality of threads; and

if no thread has attempted to acquire the synchronization mechanism during the period of time beginning at the time that the formerly owning thread acquired the synchronization mechanism and ending at the time that the formerly owning thread released the synchronization mechanism, deferring the assignment of ownership of the synchronization mechanism.

11. A method in a computer system for assigning the ownership of a synchronization mechanism...owning process acquired the synchronization mechanism and ending at the time that the formerly owning process released the synchronization mechanism assigning ownership of the synchronization mechanism to the identified one of the plurality of processes; and

if no process has attempted to acquire the **synchronization mechanism** during the period of time beginning at the time that the formerly owning **process** acquired the **synchronization mechanism** and ending at the time that the formerly owning **process** released the **synchronization mechanism**, deferring the assignment of ownership of the **synchronization mechanism**.

12. A method in a computer system for determining whether to reassign the ownership of...

### 27/5,K/4 (Item 4 from file: 348)

DIALOG(R) File 348: EUROPEAN PATENTS

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#### 00545631

Arbitrating multiprocessor accesses to shared resources Arbitrierung des Multiprozessorzugriffs zu gemeinsamen Mitteln Arbitration d'acces multiprocesseur au ressources partagees PATENT ASSIGNEE:

SUN MICROSYSTEMS, INC., (1392730), 2550 Garcia Avenue, Mountain View, CA 94043, (US), (Proprietor designated states: all)

Lockwood, James M., 3305 Jarvis Avenue, San Jose, California 95118, (US) LEGAL REPRESENTATIVE:

Wombwell, Francis (46021), Potts, Kerr & Co. 15, Hamilton Square, Birkenhead Merseyside L41 6BR, (GB)

PATENT (CC, No, Kind, Date): EP 543560 A2 930526 (Basic) EP 543560 A3 930728 EP 543560 B1 991222

APPLICATION (CC, No, Date): EP 92310300 921111;

PRIORITY (CC, No, Date): US 794573 911119

DESIGNATED STATES: DE; FR; GB; IT

INTERNATIONAL PATENT CLASS: G06F-009/46

CITED PATENTS (EP A): US 3676860 A

CITED PATENTS (EP B): US 3676860 A

CITED REFERENCES (EP A):

PATENT ABSTRACTS OF JAPAN vol. 12, no. 194 (P-713)7 June 1988; CITED REFERENCES (EP B):

PATENT ABSTRACTS OF JAPAN vol. 12, no. 194 (P-713)7 June 1988 & JP-A-62 298 866 (FUJITSU) 25 December 1987;

#### ABSTRACT EP 543560 A2

In a multiprocessor computer system, an access request and an access grant register is provided for storing an access request and an access grant semaphore for each shared resource. The access request and grant semaphores having a number of access request and grant bits assigned to the processors. Additionally, circuits are provided for each access request register for setting/clearing individual access request bits, and simultaneous reading of all access request bits of the stored access request semaphore. Furthermore, coordinated request and grant masks that reflect the relative access priorities of the processors are provided for the processors to use in conjunction with the current settings of the access request and grant semaphores to determine whether a shared resource is granted to a lower priority processor and whether a shared resource is being requested by a higher priority processor. As a result, multiprocessor accesses to shared resources are arbitrated in a manner having a number of advantages over the prior art. (see image in original document)

ABSTRACT WORD COUNT: 167

NOTE:

Figure number on first page: 1

LEGAL STATUS (Type, Pub Date, Kind, Text):

Oppn None: 001206 B1 No opposition filed: 20000923

Application: 930526 A2 Published application (Alwith Search Report

;A2without Search Report)

Search Report: 930728 A3 Separate publication of the European or

International search report

Change: 930728 A2 International patent classification (change) Examination: 940323 A2 Date of filing of request for examination:

940119

Examination: 970917 A2 Date of despatch of first examination report:

970730

Grant: 991222 B1 Granted patent

LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY:

Available Text Language Update Word Count CLAIMS B (English) 199951 2558 CLAIMS B (German) 199951 2360

CLAIMS B (French) 199951 2842 SPEC B (English) 199951 4143

Total word count - document A 0

Total word count - document B 11903

Total word count - documents A + B 11903

...SPECIFICATION processor unsets its assigned access grant and request bits of the access grant and request **semaphores** .

As a result, the processors of a **multiprocessor** computer system may perform interprocessor communication via the shared resource. A

contending processor may determine its relative access priority and the current **owner** based on the **access** request and grant **semaphores**. The relative access priorities of the processors to a shared resource may also be changed...

## 27/5,K/5 (Item 5 from file: 348) DIALOG(R)File 348:EUROPEAN PATENTS (c) 2004 European Patent Office. All rts. reserv.

00401210

Interprocessor communication Ubertragung zwischen Prozessoren Communication entre processeurs

PATENT ASSIGNEE:

International Business Machines Corporation, (200120), Old Orchard Road,
Armonk, N.Y. 10504, (US), (applicant designated states:
AT; BE; CH; DE; DK; ES; FR; GB; GR; IT; LI; LU; NL; SE)

INVENTOR:

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Grice, Lonnie Edward, 252 N.W. 44th Street, Boca Raton, FL 33431, (US) Joyce, James Maurice, 1544 N.W. 9th Street, Boca Raton, FL 33486, (US) Loffredo, John Mario, 2694 S.W. 14th Drive, Deerfield Beach, FL 33414, (US)

Sanderson, Kenneth Russell, 1132 Widgeon Road, West Palm Beach, FL 33414, (US)

Baker, Ernest Dysart, 12032 Deer Run, Raleigh, North Carolina 27614, (US) LEGAL REPRESENTATIVE:

Bailey, Geoffrey Alan (27921), IBM United Kingdom Limited Intellectual Property Department Hursley Park, Winchester Hampshire SO21 2JN, (GB) PATENT (CC, No, Kind, Date): EP 398697 A2 901122 (Basic)

EP 398697 A3 940202 EP 398697 B1 980902

APPLICATION (CC, No, Date): EP 90305312 900516;

PRIORITY (CC, No, Date): US 353115 890517

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FR; GB; GR; IT; LI; LU; NL; SE INTERNATIONAL PATENT CLASS: G06F-015/16;

CITED PATENTS (EP A): EP 205949 A; US 3940743 A; US 4315310 A; US 4812975 A; EP 192944 A; EP 95363 A; EP 26649 A

#### ABSTRACT EP 398697 A2

The functions of two virtual opening systems (e.g. S/370 VM, VSE or IX370 and S/88 OS) are merged into one physical system. Partner pairs of S/88 processors run the S/88 OS and handle the fault tolerant and single system image aspects of the system. One or more partner pairs of  $\ensuremath{\mathrm{S}}/370$ processors are coupled to corresponding S/88 processors directly and through the S/88 bus. Each S/370 processor is allocated form 1 to 16 megabytes of contiguous storage from the S/88 main storage. Each S/370 virtual operating system thinks its memory allocation starts at address 0, and it manages its memory through normal S/370 dynamic memory allocation and paging techniques. The S/370 is limit checked to prevent the S/370 from accessing S/88 memory space. The S/88 Operating System is the master over all system hardware and I/O devices. The S/88 processors across the S/370 address space in direct response to a S/88 application program so that the S/88 may move I/O data into the S/370 I/O buffers and process the S/370 I/O operations. The S/88 and S/370 peer processor pairs to execute their respective Operating Systems in a single system environment without significant rewriting of either operating system. Neither operating system is aware of the other operating system nor the other processor pairs. (see image in original document)

ABSTRACT WORD COUNT: 219

LEGAL STATUS (Type, Pub Date, Kind, Text): Lapse: 010606 Bl Date of lapse of European Patent in a contracting state (Country, date): AT 19980902, CH 19980902, LI 19980902, GR 19980902, SE 19981202, Application: 901122 A2 Published application (Alwith Search Report ; A2without Search Report) 031105 B1 Date of lapse of European Patent in a Lapse: contracting state (Country, date): AT 19980902, CH 19980902, LI 19980902, DK 19981202, ES 19980902, GR 19980902, SE 19981202, Lapse: 020612 B1 Date of lapse of European Patent in a contracting state (Country, date): AT 19980902, CH 19980902, LI 19980902, ES 19980902, GR 19980902, SE 19981202, 910206 A2 Date of filing of request for examination: Examination: 901213 940202 A3 Separate publication of the European or Search Report: International search report Examination: 960904 A2 Date of despatch of first examination report: 960717 980902 B1 Granted patent Grant: 990602 B1 Date of lapse of the European patent in a Lapse: Contracting State: CH 980902, LI 980902 990602 B1 Date of lapse of the European patent in a Lapse: Contracting State: CH 980902, LI 980902 Lapse: 990811 B1 Date of lapse of European Patent in a contracting state (Country, date): CH 19980902, LI 19980902, SE 19981202, Oppn None: 990825 B1 No opposition filed: 19990603 Lapse: 990825 B1 Date of lapse of European Patent in a contracting state (Country, date): AT 19980902, CH 19980902, LI 19980902, SE 19981202, LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY: Word Count Available Text Language Update CLAIMS B (English) 9836 397 352 CLAIMS B 9836 (German) CLAIMS B 9836 454 (French) 71173 SPEC B (English) 9836 Total word count - document A Total word count - document B 72376 Total word count - documents A + B 72376 27/5,K/7

#### (Item 7 from file: 349)

DIALOG(R) File 349: PCT Fulltext

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#### 01123180

#### METHOD AND APPARATUS FOR SERIALIZED MUTUAL EXCLUSION PROCEDE ET APPAREIL D'EXCLUSION MUTUELLE NUMEROTEE

Patent Applicant/Assignee:

INTEL CORPORATION, 2200 Mission College Boulevard, Santa Clara, CA 95052, US, US (Residence), US (Nationality) Inventor(s):

NARAD Charles, 5872 Arboretum Drive, Los Altos, CA 94024, US,

HUSTON Lawrence, 1477 Cherry Garden Lane, San Jose, CA 95125, US, Legal Representative:

HARRIS Scott C (et al) (agent), Fish & Richardson P.C., Suite 500, 4350 La Jolla Village Drive, San Diego, CA 92122, US,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200444742 A2 20040527 (WO 0444742)

Application:

WO 2003US34372 20031029 (PCT/WO US03034372)

Priority Application: US 2002293908 20021112

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

Publication Language: English

Filing Language: English Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 6792

#### English Abstract

A mechanism that associates a mutual exclusion lock with a shared data item and provides ownership of the mutual exclusion lock to multiple execution threads that execute code operating on the shared data item in a sequential

#### French Abstract

La presente invention concerne un mecanisme qui associe un verrouillage en exclusion mutuelle avec un element de donnees partagees et qui fournit la propriete de ce verrouillage en exclusion mutuelle a de multiples unites d'execution qui executent le code fonctionnant sur cet element de donnees partagees dans un ordre sequentiel.

Legal Status (Type, Date, Text)
Publication 20040527 A2 Without international search report and to be republished upon receipt of that report.

Fulltext Availability: Detailed Description

Detailed Description

... of execution are

sharing a location, multiple threads may attempt to obtain ownership of the **mutual exclusion** lock simultaneously.

Under such conditions, the order in which the threads obtain 15 the **mutual exclusion** lock ownership is not guaranteed.

When multiple threads of execution on one or more processors are sharing data, a mutual exclusion lock ("mutex") is used to provide ownership of the shared data to only one agent at a time. The use of a mutex allows the thread that holds the mutex to make one or more modifications to the contents of a shared record, or a...

#### 27/5,K/9 (Item 9 from file: 349)

DIALOG(R) File 349: PCT Fulltext

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00781825

#### SYSTEM OF REUSABLE SOFTWARE PARTS AND METHODS OF USE SYSTEME D'UNITES LOGICIELLES REUTILISABLES ET PROCEDES D'UTILISATION

Patent Applicant/Assignee:

Z-FORCE CORPORATION, 151 Kalmus Drive, Suite B-250, Costa Mesa, CA 92626, US, US (Residence), US (Nationality)

Inventor(s):

MILOUSHEV Vladimir I, 30802 Calle Barbosa, Laguna Nigel, CA 92677, US, NICKOLOV Peter A, 158 Giotto, Irvine, CA 92614, US,

Legal Representative:

TACHNER Adam H (et al) (agent), Crosby, Heafey, Roach & May, Suite 2000, Two Embarcadero Center, San Francisco, CA 94111, US,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200114959 A2-A3 20010301 (WO 0114959)

Application:

WO 2000US22694 20000816 (PCT/WO US0022694)

Priority Application: US 99149371 19990816; US 99149624 19990816

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

International Patent Class: G06F-009/44

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 182432

#### English Abstract

A system of reusable software parts for designing and constructing software components, applications and entire systems by assembly. Parts for generating events, shaping, distributing and controlling flows of events and other interactions are included. Also included are parts for handling synchronization and desynchronization of events and other interactions between parts, as well as parts for handling properties, parameterizing and serializing components, applications and systems. In addition, innovative adapter parts for interfacing parts that are not designed to work together are included. The system includes a dynamic container for software parts which supports integration of dynamically changing sets of parts into statically defined structures of parts. Other reusable parts for achieving such integration are also included.

#### French Abstract

L'invention se rapporte a un systeme d'unites logicielles reutilisables permettant la conception et la fabrication de composants logiciels, d'applications et de systemes entiers par assemblage. L'invention se rapporte a des unites destinees a la generation d'evenements, a la mise en forme, la distribution et la regulation de flux d'evenements et autres interactions. L'invention se rapporte egalement a des unites permettant de gerer la synchronisation et la desynchronisation d'evenements et autres interactions entre des unites, ainsi que des unites permettant de

gerer des proprietes, de parametrer et de serialiser des composants, des applications et des systemes. L'invention se rapporte en outre a des unites adaptatrices novatrices destinees a servir d'interface entre des unites qui ne sont pas concues pour travailler ensemble. Ledit systeme comprend un contenant dynamique pour les unites logicielles, qui assure l'integration d'ensembles d'unites changeant dynamiquement au sein de structures d'unites definies de maniere statique. L'invention se rapporte en outre a des unites reutilisables destinees a la mise en oeuvre d'une telle integration.

Legal Status (Type, Date, Text)

Publication 20010301 A2 Without international search report and to be republished upon receipt of that report.

Examination 20010802 Request for preliminary examination prior to end of 19th month from priority date

Search Rpt 20021107 Late publication of international search report Republication 20021107 A3 With international search report.

#### 27/5,K/11 (Item 11 from file: 349)

DIALOG(R) File 349: PCT Fulltext

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00389647 \*\*Image available\*\*

A SYSTEM AND METHOD FOR CONTROLLING ACCESS TO A PERIPHERAL DEVICE UTILIZING A SYNCHRONIZATION PRIMITIVE

SYSTEME ET PROCEDE DE CONTROLE DES ACCES A UN PERIPHERIQUE PAR UTILISATION D'UNE PRIMITIVE DE SYNCHRONISATION

Patent Applicant/Assignee:

ADVANCED MICRO DEVICES INC,

Inventor(s):

ROBERTS David G,

Patent and Priority Information (Country, Number, Date):

Patent.

WO 9730390 A1 19970821

Application:

WO 96US16706 19961017 (PCT/WO US9616706)

Priority Application: US 96602199 19960216

Designated States: JP KR AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G06F-009/46

International Patent Class: G06F-13:12

Publication Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 3740

#### English Abstract

Method and system aspects control access through a synchronization primitive to a peripheral device in a processing system. A processing system in accordance with the present invention includes at least one central processing unit (CPU), and at least one peripheral device coupled to the at least one CPU, where the at least one peripheral device includes a synchronization primitive for controlling acquisition by at least one thread of execution from the at least one CPU. In a system aspect for controlling access, the system includes a circuit for sending a first signal from a component of the processing system to a synchronization primitive within the peripheral device to determine a state of the synchronization primitive, and acquiring control of the peripheral device when the synchronization primitive is in a released state. In a method for gaining control of a peripheral device in a processing system, the method includes sending a first signal from a component of the processing system to a semaphore register within the

peripheral device to determine a bit pattern in the semaphore register, and acquiring control of the peripheral device when the semaphore register stores a first bit pattern.

#### French Abstract

La presente invention concerne un systeme et un procede de controle des acces a un peripherique d'un systeme informatique par utilisation d'une primitive de synchronisation. Le systeme informatique de l'invention est constitue d'au moins une unite centrale (UC) et d'au moins un peripherique couple a cette UC. Le peripherique integre une primitive de synchronisation servant a controler l'usage exclusif par un train d'execution lance depuis l'UC. Le systeme de controle des acces comporte un circuit assurant l'envoi d'un premier signal issu d'un composant du systeme informatique a destination d'une primitive de synchronisation integree au peripherique, lequel signal demande a connaitre l'etat de la primitive de synchronisation et, si la primitive de synchronisation est a l'etat libere, ce signal demande l'acces en usage exclusif au peripherique. Le procede de reservation exclusive du peripherique du systeme informatique consiste a envoyer un premier signal en provenance d'un composant du systeme informatique a destination d'un registre semaphore integre au peripherique, lequel signal demande a connaitre une configuration binaire dans le registre semaphore, et, si le registre semaphore contient une premiere configuration binaire, le signal demande la reservation du peripherique en usage exclusif.

#### Fulltext Availability: Detailed Description

#### Detailed Description

... the object at any one time, and only the thread with ownership can release that ownership. Thus, access to a peripheral 1/0 device would sometimes be associated with a semaphore object to ensure that two threads do not try to control the 1/0 device at the same time and interfere with each other. Although synchronization objects do ensure a lack of interference, their implementation through the operating system slows down the...

(Item 1 from file: 348) 30/5, K/1DIALOG(R) File 348: EUROPEAN PATENTS (c) 2004 European Patent Office. All rts. reserv. Apparatus and method for collecting resources which became unnecessary Verfahren nicht mehr benotigten Sammeln Anordnung und zum von Betriebsmitteln Dispositif et methode pour collecter des ressources devenues non necessaires PATENT ASSIGNEE: MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., (216883), 1006, Oaza-Kadoma, Kadoma-shi, Osaka 571-8501, (JP), (Applicant designated States: all) Shiomi, Takakazu, 30-5 Tohda-cho, Hirakata-shi, Osaka-fu, 573-0045, (JP) Hayama, Satoru, 2-12-506 Sumiyoshi-dai, Higashinada-ku, Kobe-shi, Hyogo-ken, 658-0062, (JP) Hiramoto, Takeshi, 4-6-B201, Minamishouwa-machi, Kaita-cho, Aki-gun, Hiroshima-ken, 736-0065, (JP) Kubooka, Yuko, 2-7-25-501, Hikari-machi, Higashi-ku, Hiroshima-shi, Hiroshima-ken, 732-0052, (JP) Doi, Shigenori, 6-16-58 Kawauchi, Asaminami-ku, Hiroshima-shi, Hiroshima-ken, 731-0102, (JP) LEGAL REPRESENTATIVE: Crawford, Andrew Birkby et al (29761), A.A. Thornton & Co. 235 High Holborn, London WC1V 7LE, (GB) PATENT (CC, No, Kind, Date): EP 1164485 A2 011219 (Basic) APPLICATION (CC, No, Date): EP 2001304329 010515; PRIORITY (CC, No, Date): JP 2000141492 000515; JP 2000141493 000515; JP 2000398746 001227 DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE; TR EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI INTERNATIONAL PATENT CLASS: G06F-009/50; G06F-009/46 ABSTRACT EP 1164485 A2 When requested by an application, a library unit provides a resource to the application, acquires an ID for identifying the application which has requested the resource, and stores a combination of a resource name of the provided resource and the application ID in a table. When an application is completed, the library unit receives an application ID of the completed application, detects a resource name corresponding to the received application ID in the table, and collects a resource specified by the resource name. ABSTRACT WORD COUNT: 84 NOTE: Figure number on first page: 6 LEGAL STATUS (Type, Pub Date, Kind, Text): 011219 A2 Published application without search report Application: LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY: Available Text Language Update Word Count CLAIMS A (English) 200151 4158 SPEC A 14258 200151 (English) Total word count - document A 18416 Total word count - document B

...SPECIFICATION even when one application ends, the VM unit 33a can execute the next application without reacquiring the necessary

18416

Total word count - documents A + B

resources. Also, each class library unit may reserve resources necessary for its own operation using the system special thread. For example, memory for holding the table showing the correspondence between applications, threads, and listeners shown in FIG. 19 may be reserved using the system special thread.

The...

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30/5, K/3
              (Item 3 from file: 348)
DIALOG(R) File 348: EUROPEAN PATENTS
(c) 2004 European Patent Office. All rts. reserv.
01051672
MEMORY OPTIMIZATION STATE
SPEICHEROPTIMIERUNGSZUSTAND
ETAT D'OPTIMISATION D'UNE MEMOIRE
PATENT ASSIGNEE:
  UNISYS CORPORATION, (842794), Township Line and Union Meeting Roads P.O.
    Box 500, Blue Bell, PA 19424-0001, (US), (Proprietor designated states:
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LEGAL REPRESENTATIVE:
  Modiano, Guido, Dr.-Ing. et al (40786), Modiano, Josif, Pisanty & Staub,
    Baaderstrasse 3, 80469 Munchen, (DE)
                              EP 1027655 Al 000816 (Basic)
PATENT (CC, No, Kind, Date):
                              EP 1027655 B1 040310
                              WO 1999023566 990514
                              EP 98957585 981104; WO 98US23509 981104
APPLICATION (CC, No, Date):
PRIORITY (CC, No, Date): US 964626 971105
DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
  LU; MC; NL; PT; SE
INTERNATIONAL PATENT CLASS: G06F-012/08
CITED PATENTS (EP B): EP 881579 A; WO 95/25306 A
CITED PATENTS (WO A): XP 78115
CITED REFERENCES (EP B):
   "COMPACT GLOBAL TABLE FOR MANAGEMENT OF MULTIPLE CACHES" IBM TECHNICAL
    DISCLOSURE BULLETIN, vol. 32, no. 7, 1 December 1989, pages 322-324,
    XP000078115;
CITED REFERENCES (WO A):
   "COMPACT GLOBAL TABLE FOR MANAGEMENT OF MULTIPLE CACHES" IBM TECHNICAL
    DISCLOSURE BULLETIN, vol. 32, no. 7, 1 December 1989, pages 322-324,
    XP000078115;
NOTE:
  No A-document published by EPO
LEGAL STATUS (Type, Pub Date, Kind, Text):
 Application:
                  000816 Al Published application with search report
                  990714 Al International application (Art. 158(1))
 Application:
 Grant:
                  040310 B1 Granted patent
                  021023 Al Title of invention (German) changed: 20020905
 Change:
                  000816 Al Date of request for examination: 20000531
 Examination:
                  021030 Al Title of invention (German) changed: 20020906
 Change:
LANGUAGE (Publication, Procedural, Application): English; English; English
FULLTEXT AVAILABILITY:
Available Text Language
                           Update
                                      Word Count
```

CLAIMS B

CLAIMS B

CLAIMS B

SPEC B

(English)

(German)

(French)

(English)

200411

200411

200411

200411

290

291

338

5052

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Total word count - document A 0
Total word count - document B 5971
Total word count - documents A + B 5971
```

...SPECIFICATION defined by the appended claims is directed to a system and method for enabling a multiprocessor system employing a memory hierarchy to provide data ownership based upon previous access patterns of a data unit. The memory hierarchy comprises a main memory having a plurality of data units, a...? t30/5,k/18,24,26

#### 30/5,K/18 (Item 18 from file: 349)

DIALOG(R) File 349: PCT Fulltext

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00841933 \*\*Image available\*\*

EFFICIENT IMPLEMENTATION OF SEVERAL INDEPENDENT STATE MACHINES IN THE SAME PROCESS

## APPLICATION EFFICACE DE PLUSIEURS AUTOMATES FINIS INDEPENDANTS DANS LE MEME PROCESSUS

Patent Applicant/Assignee:

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MOHAGHEGHI Parastoo, Gjert Sorensens vei 30, N-4879 Grimstad, NO,

Legal Representative:

ERICSSON RADIO SYSTEMS AB (agent), Patent Unit Radio Access, S-164 80 Stockholm, SE,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200175601 A1 20011011 (WO 0175601)

Application: WO 2001SE598 20010320 (PCT/WO SE0100598) Priority Application: NO 20001655 20000330

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-009/46

International Patent Class: H04L-029/00

Publication Language: English

Filing Language: English Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 2798

#### English Abstract

The invention relates to a process control in telecommunication systems, in particular GPRS. The invention is a system and a method for implementing several independent state machines in the same process. It describes how to organise the state machines in a tree, how they access their data and how to offer them some generic support, such as informing them of a common event.

#### French Abstract

L'invention concerne un controle de processus dans des systemes de

telecommunication, notamment le GPRS. L'invention est un systeme et un procede permettant de mettre en oeuvre plusieurs automates finis independants dans le meme processus. L'invention decrit la maniere d'organiser les automates finis en arbre, la maniere d'acceder a leurs donnees et la maniere de leur offrir quelque support generique, comme de les informer d'un evenement commun.

les informer d'un evenement commun. Legal Status (Type, Date, Text) Publication 20011011 A1 With international search report. Publication 20011011 Al Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. Examination 20011206 Request for preliminary examination prior to end of 19th month from priority date Fulltext Availability: Detailed Description Detailed Description ... all modules on the same process is pos sible by traversing the module tree O Access to the process data is organised; no module can access data owned by another module Number of processes started on a processor and, hence, messages passing between the processes (which is costly for a system with many processes ) is reduced. \* A homogenous programming model is achieved 5 \* It is easy to add new... 30/5, K/24(Item 24 from file: 349) DIALOG(R) File 349: PCT Fulltext (c) 2004 WIPO/Univentio. All rts. reserv.

00747049 \*\*Image available\*\*

SYSTEM AND METHOD FOR ACCESSING PERSONAL INFORMATION SYSTEME ET PROCEDE D'ACCES A DES DONNEES PERSONNELLES

Patent Applicant/Assignee:

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Legal Representative:

RUSSAVAGE Edward J (agent), Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210, US,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 200060435 A2-A3 20001012 (WO 0060435)

Application: WO 2000US9265 20000407 (PCT/WO US0009265)

Priority Application: US 99128219 19990407

Designated States: CA JP

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G06F-001/00

International Patent Class: G06F-0017/30

Publication Language: English

Filing Language: English

Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 20722

#### English Abstract

A business model is provided wherein a consumer is in control of their own information. Consumer data may be controlled, for example, by a single personal system or by a plurality of systems acting in the interest of the person. A method is provided for differentiating between ownership and control of data, allowing more flexibility in distributing and controlling data. Further, a distributed system architecture is provided to distribute consumer data among a portable device and a plurality of general-purpose computer systems. The system may employ agents that perform transactions on behalf and in the best interests of the user while keeping personal data within the ownership and control of the person. A manager is provided to manage agents created by the system. In one aspect, a metadatabase is used to manage these agents. The portable device may also include a natural language user interface (NLUI or NLI) to enhance the ease of use. The portable device may use, for instance, a natural language query processor that minimized storage and processing required on the portable device.

#### French Abstract

L'invention concerne un modele de gestion dans lequel un client commande ses propres donnees. Les donnees du client peuvent etre commandees, par exemple, par un systeme personnel unique ou par plusieurs systemes agissant dans l'interet de la personne. Un procede de differenciation entre la propriete et la commande des donnees permet d'acquerir plus de souplesse dans la repartition et la commande des donnees. En outre, une architecture de systeme repartie permet de repartir les donnees de client entre un dispositif portatif et plusieurs systemes informatiques polyvalents. Le systeme peut faire intervenir des agents qui effectuent des transactions en faveur et dans l'interet de l'utilisateur tout en gardant les donnees personnelles sous le controle de la personne qui en reste proprietaire. Un gestionnaire est destine a gerer les agents crees par le systeme. Dans un aspect de l'invention, on utilise une meta-base de données pour gerer ces agents. Le dispositif portatif peut egalement comprendre une interface utilisateur en langage naturel (NLUI ou NLI) destinee a faciliter l'utilisation. Le dispositif portatif peut faire intervenir, par exemple, un processeur d'interrogation en langage naturel permettant de reduire la memoire et le traitement requis dans le dispositif portatif.

Legal Status (Type, Date, Text)

Publication 20001012 A2 Without international search report and to be republished upon receipt of that report.

Examination 20001228 Request for preliminary examination prior to end of 19th month from priority date

Search Rpt 20010412 Late publication of international search report

Republication 20010412 A3 With international search report.

Fulltext Availability: Detailed Description Claims

#### Detailed Description

... and control of data. The method comprises steps of operating a computer system having a plurality of processes, and wherein at least one of the processes executes as a user process; indicating, for data accessed by the at least one process, ownership of the accessed data; and indicating, for the data accessed by the at least one process, control of the accessed data wherein indication of ownership and indication of control are independent. According to one embodiment of

Claim ... method for maintaining ownership and control of data, comprising: operating a computer system having a plurality of processes, and wherein at least one of the processes executes as a user process; indicating, for data accessed by the at least one process, ownership of the accessed data ; and 5 indicating, for the data accessed by the at least one process, control of the accessed data wherein indication of ownership and indication of control are independent. 21 The method according to... 30/5,K/26 (Item 26 from file: 349) DIALOG(R) File 349: PCT Fulltext (c) 2004 WIPO/Univentio. All rts. reserv. 00492214 MEMORY OPTIMIZATION STATE Patent Applicant/Assignee:

ETAT D'OPTIMISATION D'UNE MEMOIRE

UNISYS CORPORATION, Inventor(s): BAUMAN Mitchell A, NILSON Arthur J,

MORRISSEY Douglas E,

Patent and Priority Information (Country, Number, Date):

Patent:

WO 9923566 A1 19990514

WO 98US23509 19981104 (PCT/WO US9823509) Application: Priority Application: US 97964626 19971105

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-012/08

Publication Language: English

Fulltext Availability: Detailed Description

Claims

Fulltext Word Count: 5868

#### English Abstract

A system and method for enabling a multiprocessor system (100) employing a memory hierarchy to provide data ownership based upon previous access patterns of a data unit (230). The memory hierarchy comprises a main memory (210) having a plurality of data units (230), a plurality of caches (510) that operate independently of each other, and at least one coherent domain (530) interfaced to each cache (510). Each coherent domain (530) comprises at least two processors (540). The main memory (210) includes a directory (610) for maintaining information about each data unit (230). The main memory (210) maintains coherency of data among the plurality of caches (510) using the directory (610). The present invention allows a requesting agent (510, 540, 550), such as a processor (540) or cache (510, 550), to request a data unit (230) without specifying the type of ownership, where ownership may be exclusive or shared. The directory (610) includes history information that defines the previous access pattern of the requested data unit (230), whereby main memory (210) checks, prior to forwarding the requested data unit (230) to the requesting agent (510, 540, 550), the history information to determine what type of ownership to associate with the requested data unit (230). The requested data unit (230) is then delivered to the requesting agent (510, 540, 550) with ownership rights specified by the history information.

#### French Abstract

La presente invention concerne un systeme et un procede permettant a un systeme multiprocesseur (100) d'utiliser une arborescence de memoire pour determiner la propriete de donnees sur la base des modeles d'acces anterieurs d'une unite de donnees (230). Cette arborescence de memoire comprend une memoire principale (210) possedant plusieurs unites de donnees (230), plusieurs antememoires (510) fonctionnant de maniere independante l'une de l'autre, et au moins un domaine coherent (530) en interface avec chacune des antememoires (510). Chaque domaine coherent (530) comprend au moins deux processeurs (540). La memoire principale (210) comprend un repertoire (610) pour contenir les informations concernant chacune des unites de donnees (230). La memoire centrale (210) maintient la coherence des donnees dans le groupe d'antememoires (510) utilisant le repertoire (610). Cette invention permet a un agent demandeur (510, 540, 550), tel qu'un processeur (540) ou une antememoire (510, 550), de demander une unite de donnees (230) sans specifier le type de propriete, la propriete pouvant etre exclusive ou partagee. Le repertoire (610) comprend les informations historiques qui definissent le modele d'acces anterieur de l'unite de donnees interrogee (230), la memoire centrale (210) s'occupant de verifier, avant d'acheminer l'unite de donnees demandee (230) a l'agent demandeur (510, 540, 550), les informations historiques de maniere a determiner le type de propriete a associer a l'unite de donnees demandee (230). L'unite de donnees demandee (230) est ensuite acheminee jusqu'a l'agent demandeur (510, 540, 550), les informations historiques ayant deja specifie les droits de propriete.

Fulltext Availability: Detailed Description

#### English Abstract

A system and method for enabling a multiprocessor system (100) employing a memory hierarchy to provide data ownership based upon previous access patterns of a data unit (230). The memory hierarchy comprises a main memory (210) having a plurality of data...

#### Detailed Description

... Briefly stated, the present invention is directed to a system and method for enabling a multiprocessor system employing a memory hierarchy to provide data ownership based upon previous access patterns of a data unit. The memory hierarchy comprises a main memory having a plurality of data units, a...

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File 347: JAPIO Nov 1976-2004/Mar(Updated 040708)
         (c) 2004 JPO & JAPIO
File 350:Derwent WPIX 1963-2004/UD, UM &UP=200445
         (c) 2004 Thomson Derwent
        Items
                Description
Set
S1
       101030
                OWN OR OWNS OR OWNED OR OWNER? OR OWNING OR COOWN?
                MULTIJOB? ? OR MULTIPROCESS?? ? OR MULTITHREAD? OR MULTITA-
S2
         9746
             SK?
                THREAD?? ? OR PROCESSES OR JOB? ? OR TASK?? ? OR TASKING? ?
S3
       589880
              OR PROGRAMMES OR PROGRAMS OR ENTITY? OR ENTITIES OR ROUTINE?
                (MANY OR MULTIPLE OR MULTI OR SEVERAL OR NUMEROUS OR PLURA-
S4
        15606
             LIT? OR MULTIPLE OR MULTITUD? OR PLURIF? OR BETWEEN) (1W) S3
                (VARIOUS OR VARIETY OR GROUP???? ? OR CLUSTER? ? OR NUMBER
S5
        18929
             OR SET OR SETS OR CHAIN? ? OR SERIES) (1W) S3
                (TWO OR SECOND OR SECONDARY OR PAIR OR 2ND OR BOTH OR DUAL
S6
        14286
             OR THREE OR TRIO OR THIRD OR 3RD) (1W) S3
                LOCK OR LOCKS OR LOCKED OR LOCKING OR GHOSTLOCK? OR METALO-
S7
       483278
             CK? OR SLEEPLOCK? OR SPINLOCK?
                S1(3N)(INQUIR? OR QUERY? OR QUERIE? ? OR INTERROGAT? OR SU-
S8
             BQUER? OR INQUIR? OR ENQUIR? OR ASK??? ? OR QUESTION??? ? OR -
             SEEK??? ?)
                S1(3N)(ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USING
S9
         4277
              OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACCES-
             SING)
                S1(3N)(REUS????? ? OR REACQUISITION? OR REACCESS?)
S10
           14
                MUTUAL?(1W) (EXCLUS? OR EXCLUD?) OR MUTEX? OR MUT() (EX OR E-
S11
          813
             XES OR EXING OR EXED)
          524
                SEMAPHORE? OR EVENTSEMAPHORE?
S12
                CRITICAL()SECTION? ? OR CRITICAL()CODE? ?()SECTION? ?
S13
          323
                KERNELOBJECT? OR EVENTOBJECT? OR (KERNEL OR EVENT) () OBJECT?
S14
           71
         2629
                RESOURCE? ?(1W) (MANAGE? OR MANAGING? OR DISPENS?)
S15
S16
       219172
                SYNCHRONIS? OR SYNCHRONIZ?
                S16(2N) (MECHANISM? OR OBJECT? ? OR STATE? ? OR PRIMITIVE? ?
S17
        11164
              OR SERVICE? ? OR METHOD? ? OR STATEMENT? ?)
                S16(2N)(OPERATION? ? OR FUNCTION? ? OR PROCESS?? ? OR DEVI-
S18
        22497
             CE? ? OR UNIT OR UNITS)
S19
      1568977
                DATA OR RESOURCE OR RESOURCES
                S19(3N) (ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USI-
S20
       209938
             NG OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACC-
             ESSING)
                S8:S10 AND (S2 OR MULTIPROCESS? OR S4:S6 OR MULTI()PROCESS-
S21
          100
S22
            6
                S21 AND S11:S18
           26
                S21 AND S20
S23
           29
                S22:S23
S24
           29
                IDPAT (sorted in duplicate/non-duplicate order)
S25
                IDPAT (primary/non-duplicate records only)
S26
           29
 26/9/1
             (Item 1 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.
016088835
             **Image available**
WPI Acc No: 2004-246710/200423
Related WPI Acc No: 2004-167969; 2004-167981
XRPX Acc No: N04-195662
  Multi-target transactions providing method for computer system, involves
```

committing transaction once ownership of each of targeted transactionable

locations has been acquired, using single-target synchronization

primitive

Patent Assignee: SUN MICROSYSTEMS INC (SUNM )

Inventor: HERLIHY M; LUCHANGCO V M; MOIR M S

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 20040034673 A1 20040219 US 2002396152 P 20020716 200423 B
US 2003620747 A 20030716

Priority Applications (No Type Date): US 2002396152 P 20020716; US 2003620747 A 20030716

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 20040034673 A1 13 G06F-012/00 Provisional application US 2002396152

Abstract (Basic): US 20040034673 A1

NOVELTY - The method involves attempting to acquire ownership of each of targeted transactionable locations for a particular transaction of multi - threaded computation. The transaction is committed once ownership of each of the targeted transactionable locations has been acquired, using a single-target synchronization primitive. The primitive ensures that the transaction continues to own each of the targeted transactionable locations.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (1) an implementation of non-blocking, multi-targets transactions that employs primitives to acquire, for a particular multi-target transaction
  - (2) a computer program product encoding
- (3) a computer readable medium encoding a portion of a non-blocking, multi-target transaction implementation
  - (4) a multi-target transactions providing apparatus.

 $\ensuremath{\mathsf{USE}}$  -  $\ensuremath{\mathsf{Used}}$  for providing multi-target transactions in a computer system.

ADVANTAGE - The multi-target atomic transactions that target special transactionable locations in shared memory, are obstruction-free.

DESCRIPTION OF DRAWING(S) - The drawing shows a flow diagram that highlights major flows in execution of a multitarget compare and swap operation.

pp; 13 DwgNo 2/3

Title Terms: MULTI; TARGET; TRANSACTION; METHOD; COMPUTER; SYSTEM; TRANSACTION; LOCATE; ACQUIRE; SINGLE; TARGET; SYNCHRONISATION; PRIMITIVE

Derwent Class: T01

International Patent Class (Main): G06F-012/00

File Segment: EPI

Manual Codes (EPI/S-X): T01-H03D; T01-S03

#### 26/9/2 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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015950001 \*\*Image available\*\*
WPI Acc No: 2004-107842/200411

XRPX Acc No: N04-085763

Computer system e.g. multiprocessing computer system, changes ownership responsibility and access rights among coherency units at

```
different timings based on received address and data packets
Patent Assignee: SUN MICROSYSTEMS INC (SUNM ); CYPHER R E (CYPH-I)
Inventor: CYPHER R E
Number of Countries: 032 Number of Patents: 002
Patent Family:
Patent No
              Kind
                    Date
                            Applicat No
                                            Kind
                                                   Date
US 20040003180 A1
                   20040101 US 2002184171 A
                                                  20020628
                                                           200411 B
EP 1380956
              A2 20040114 EP 2003254042
                                            Α
                                                 20030625
Priority Applications (No Type Date): US 2002184171 A 20020628
Patent Details:
Patent No Kind Lan Pg
                       Main IPC
                                     Filing Notes
                   44 G06F-012/00
US 20040003180 A1
                      G06F-012/08
EP 1380956
             A2 E
   Designated States (Regional): AL AT BE BG CH CY CZ DE DK EE ES FI FR GB
  GR HU IE IT LI LT LU LV MC MK NL PT RO SE SI SK TR
Abstract (Basic): US 20040003180 A1
        NOVELTY - An active device receives an address packet from a
   directory, indicating ownership responsibilities and performs
   transition of ownership responsibility for a specific coherency unit.
   The active device receives a data packet from another active device and
   performs transition of access rights for the coherency unit at a time
   different from the ownership transition time.
        DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for
   coherency management method in computer system.
       USE - Computer system e.g. multiprocessing computer system for
   use in various transactions through packet switched network and
   point-point network.
       ADVANTAGE - Reduces processing latency during data
                                                             access by
   utilizing the directory based coherency protocols effectively.
   Simplifies circuit configuration by minimizing the number of
   processors.
        DESCRIPTION OF DRAWING(S) - The figure shows the schematic block
   diagram of computer system.
       processing systems (142A,142B)
       memory sub-systems (144A, 144B)
       input-output system (146)
       pp; 44 DwgNo 1/20
Title Terms: COMPUTER; SYSTEM; MULTIPROCESSOR; COMPUTER; SYSTEM; CHANGE;
 ACCESS; COHERE; UNIT; TIME; BASED; RECEIVE; ADDRESS; DATA; PACKET
Derwent Class: T01; W01
International Patent Class (Main): G06F-012/00; G06F-012/08
File Segment: EPI
Manual Codes (EPI/S-X): T01-H01C3; T01-M02; T01-N02B1; W01-A03B; W01-A05B;
 W01-A06E
 26/9/3
            (Item 3 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.
015633466
            **Image available**
WPI Acc No: 2003-695648/200366
XRPX Acc No: N03-555433
  Multitask computing system operating method involves advising currently
  owning task to relinquish use of target resource item and is
 removed from in-use registry, when pending of takeaway request is
 determined
Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )
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Inventor: LE C M; PENCE J W; RATLIFF J M Number of Countries: 001 Number of Patents: 001 Patent Family: Patent No Kind Date Applicat No Kind Date Week B1 20030805 US 2000671971 US 6604160 Α 20000928 200366 B Priority Applications (No Type Date): US 2000671971 A 20000928 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes US 6604160 17 G06F-013/38 В1 Abstract (Basic): US 6604160 B1 NOVELTY - A use-requesting task is entered into in-use registry (110) of target resource item, only if conflict resolution solution identified from priority matrix (111) allows the task to obtain target item, else takeaway request is submitted. When pending of takeaway request is determined, in response to arrival of recovery events, currently owning task is advised to relinquish resource item usage and is removed from in- use registry. DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following: (1) computing system; (2) data storage system; (3) storage medium storing program for performing operations to arbitrate access among multiple tasks to shared computing resource items; and (4) logic circuit for performing operations to arbitrate access among multiple tasks to shared computing resource items. USE - For arbitrating access to computing resources such as magnetic tape cartridges or tape reels, cache and non-volatile memories, tape drive, optical disk drive, network resources, internet access, files, data sets, database records and server resources, in multitask computing environment. ADVANTAGE - Prevents lengthy delays incurred, when a use- seeking task waits until the natural conclusion of a preceding task of using serially-accessible data storage media. Also permits the access-seeking tasks of higher priority to start using shared resources by takeaway operations, even if the task owning the resource fails. DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the data storage system. applications (103-105) storage manager (108) in-use registry (110) priority matrix (111) controllers (112) pp; 17 DwgNo 1/6 Title Terms: COMPUTATION; SYSTEM; OPERATE; METHOD; ADVICE; CURRENT; TASK; TARGET; RESOURCE; ITEM; REMOVE; REGISTER; PENDING; REQUEST; DETERMINE Derwent Class: T01; T03; U21 International Patent Class (Main): G06F-013/38

Manual Codes (EPI/S-X): T01-F02C2; T01-N02B1A; T01-S03; T03-N01; U21-C03B9

26/9/5 (Item 5 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.

014997638 \*\*Image available\*\*

File Segment: EPI

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XRPX Acc No: N03-045169
  Cache data block requester prediction method in multiprocessor system,
  involves informing data block requesting processor about processor
  currently owning data block
Patent Assignee: LAI K (LAIK-I); PEIR J (PEIR-I); INTEL CORP (ITLC )
Inventor: LAI K; PEIR J
Number of Countries: 001 Number of Patents: 002
Patent Family:
Patent No
                             Applicat No
                                            Kind
                                                   Date
                                                            Week
              Kind
                     Date
US 20020144063 A1 20021003 US 2001823251
                                                  20010329
                                                            200305
                                            A
              B2 20040323 US 2001823251
                                            Α
                                                 20010329
US 6711662
                                                           200421
Priority Applications (No Type Date): US 2001823251 A 20010329
Patent Details:
Patent No Kind Lan Pg
                         Main IPC
                                     Filing Notes
                      9 G06F-012/00
US 20020144063 A1
US 6711662
              B2
                       G06F-012/00
Abstract (Basic): US 20020144063 A1
        NOVELTY - A cache data block requesting processor (121) is
    predicted. The requesting processor is informed about the processor
    currently owning the data block, using
                                                 ownership history
    information table (23) stored in memory (16).
        DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the
    following:
        (1) Cache management system; and
        (2) Computer program product storing cache data block requester
    prediction program.
        USE - For predicting the processor requesting the cache data block,
       multiprocessor system.
        ADVANTAGE - By predicting more than one requester, the prediction
    accuracy can be improved. As the requester is informed about the
    current owner of the data block, the requester can directly request the
                        access speed and throughput are increased.
     owner , thus data
        DESCRIPTION OF DRAWING(S) - The figure shows the components of the
    cache for predicting data block requesters.
        Memory (16)
        Ownership history information table (23)
        Processor (121)
        pp; 9 DwgNo 2/3
Title Terms: CACHE; DATA; BLOCK; PREDICT; METHOD; MULTIPROCESSOR; SYSTEM;
  INFORMATION; DATA; BLOCK; REQUEST; PROCESSOR; PROCESSOR; CURRENT; DATA;
  BLOCK
Derwent Class: T01
International Patent Class (Main): G06F-012/00
File Segment: EPI
Manual Codes (EPI/S-X): T01-H03A; T01-H08; T01-M02A1; T01-S03
 26/9/7
            (Item 7 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2004
         Thomson Derwent. All rts. reserv.
014708221
             **Image available**
WPI Acc No: 2002-528925/200256
XRPX Acc No: NO2-418863
  Controlling access to data segments by acquiring and transferring
  ownership of cache lines and enacting storage locks
Patent Assignee: VARTTI K S (VART-I); UNISYS CORP (BURS )
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WPI Acc No: 2003-058153/200305

Inventor: VARTTI K S Number of Countries: 021 Number of Patents: 003 Patent Family: Patent No Applicat No Date Week Kind Date Kind A2 20020711 WO 2001US48743 A WO 200254250 20011212 200256 B US 20020174305 A1 20021121 US 2000750637 Α 20001228 200279 US 6625698 B2 20030923 US 2000750637 Α 20001228 200364 Priority Applications (No Type Date): US 2000750637 A 20001228 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes WO 200254250 A2 E 36 G06F-012/08 Designated States (National): JP Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR US 20020174305 A1 G06F-012/08 US 6625698 В2 G06F-012/00 Abstract (Basic): WO 200254250 A2 ownership status of the NOVELTY - Method consists in acquiring memory target data segments (TDSs) (cache lines), enacting a storage lock (SL) by prohibiting other requesting services from acting on the TDSs while the targeted (cache) memory owns the TDSs, issuing an SL release signal when exclusivity is no longer required, and releasing the SL to allow other devices to act on the TDSs. Segment ownership is based on temporary exclusivity requirements and ownership can be transferred before the SL is released. DETAILED DESCRIPTION - There are INDEPENDENT CLAIMS for: (1) A system for maintaining cache coherency (2) A computer program for managing storage locks (3) An apparatus for controlling access to data segments to maintain memory coherency USE - Method is for controlling storage locks based on cache line ownership in multiprocessor data processing systems. DESCRIPTION OF DRAWING(S) - The figure shows a computing system block diagram. pp; 36 DwgNo 1/4 Title Terms: CONTROL; ACCESS; DATA; SEGMENT; ACQUIRE; TRANSFER; CACHE; LINE ; STORAGE; LOCK Derwent Class: T01 International Patent Class (Main): G06F-012/00; G06F-012/08 International Patent Class (Additional): G06F-013/00 File Segment: EPI Manual Codes (EPI/S-X): T01-H03A; T01-H05B1; T01-S03 (Item 8 from file: 350) 26/9/8 DIALOG(R) File 350: Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. 014621689 \*\*Image available\*\* WPI Acc No: 2002-442393/200247 XRPX Acc No: N02-348389 Operation control method in multiprocessor computer system, involves acquiring ownership of memory location by a microprocessor to perform read, write or modification operations without any interference Patent Assignee: INTEL CORP (ITLC ) Inventor: CARMEAN D M; KUMAR H; LINCE B E; UPTON M D; ZHANG Z Number of Countries: 001 Number of Patents: 001 Patent Family:

Patent No

Kind

Date

Applicat No

Kind

Date

Week

Priority Applications (No Type Date): US 99474698 A 19991229

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 6370625 B1 10 G06F-013/18

Abstract (Basic): US 6370625 B1

NOVELTY - Ownership of a memory location is acquired by a microprocessor (12) to perform read, write or modification operations, when correct data is stored in that location. Other operations directed towards the data stored in that location are prevented, when operations are performed by the processor. The ownership is released after operation completion to allow the processor to perform operations in different memory location.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) Microprocessor;
- (2) Computer system; and
- (3) Machine readable medium with operation control program.

USE - For **synchronization** of processing of memory instructions by microprocessors (claimed) or **between** their **threads** in computer system (claimed).

ADVANTAGE - The microprocessor or its thread has ownership of the lock while it is being processed, thereby precluding other microprocessors or threads from **accessing** the **data**. Hence, successful read, write or modification operation is ensured without any interference from other microprocessors.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of microprocessor.

Microprocessor (12)

pp; 10 DwgNo 2/4

Title Terms: OPERATE; CONTROL; METHOD; MULTIPROCESSOR; COMPUTER; SYSTEM; ACQUIRE; MEMORY; LOCATE; MICROPROCESSOR; PERFORMANCE; READ; WRITING; MODIFIED; OPERATE; INTERFERENCE

Derwent Class: T01

International Patent Class (Main): G06F-013/18

File Segment: EPI

Manual Codes (EPI/S-X): T01-H01C2; T01-H03D; T01-H05B1; T01-M02; T01-S03

#### 26/9/9 (Item 9 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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014244941 \*\*Image available\*\*

WPI Acc No: 2002-065641/200209

Related WPI Acc No: 2002-033829

XRPX Acc No: N02-048755

Hybrid shared-nothing/shared disk database system for multiprocessing computer system, allows direct access to data in ownership groups only for processes executed on servers and members of owner set

Patent Assignee: ORACLE CORP (ORAC-N)

Inventor: PUTZOLU G

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 6321238 B1 20011120 US 98222577 A 19981228 200209 B

Priority Applications (No Type Date): US 98222577 A 19981228 Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes US 6321238 B1 15 G06F-017/30

Abstract (Basic): US 6321238 B1

NOVELTY - Several database servers execute on corresponding nodes, where each node has direct access to persistent storage devices. A portion of database is divided into ownership groups where each group is assigned with an owner set. The processes that are executed on servers which are members of the owner set, are only allowed to directly access data within the group.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (a) Database access management method;
- (b) Computer readable medium for storing instructions for managing database  ${\tt access}$

USE - For use in multiprocessing computer system.

ADVANTAGE - Provides a single database system that is able to provide the performance advantages for both shared-nothing/shared disk database architectures. Provides better fault containment in case of software failures and frequent read access errors.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart for processing a data item in ownership groups supporting system.

pp; 15 DwgNo 3/5

Title Terms: HYBRID; SHARE; SHARE; DISC; DATABASE; SYSTEM; MULTIPROCESSOR; COMPUTER; SYSTEM; ALLOW; DIRECT; ACCESS; DATA; GROUP; PROCESS; EXECUTE; SERVE; MEMBER; OWNER; SET

Derwent Class: T01

International Patent Class (Main): G06F-017/30

International Patent Class (Additional): G06F-012/00

File Segment: EPI

Manual Codes (EPI/S-X): T01-J05B4A; T01-J05B4M; T01-N02A3C; T01-N02B1; T01-S03

# **26/9/10** (Item 10 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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013783105 \*\*Image available\*\*

WPI Acc No: 2001-267316/200128

Related WPI Acc No: 1989-186482; 1989-186483; 1991-001580; 1991-001581;

1991-310785; 1991-348214; 1991-348215; 1992-034292; 1992-041979;

1993-102775; 1994-127941; 1999-432322; 2000-484542; 2000-507062;

2000-550699; 2000-648613; 2002-270962

XRPX Acc No: N01-191252

Memory management for digital data processing contains number of processing cells has data item identifier for all of data items in system address space which is unitary system address space

Patent Assignee: SUN MICROSYSTEMS INC (SUNM )

Inventor: BURKHARDT H; FRANK S J; GOODMAN N; LEE L Q; MARGULIES B I; WEBER F D

Number of Countries: 013 Number of Patents: 001

Patent Family:

Patent No Applicat No Kind Week Kind Date Date EP 1016971 A2 20000705 EP 88311139 Α 19881124 200128 B EP 2000200994 Α 19881124

Priority Applications (No Type Date): US 87136930 A 19871222

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

EP 1016971 A2 E 51 G06F-012/08 Div ex application EP 88311139

Designated States (Regional): AT BE CH DE ES FR GB GR IT LI LU NL SE

Abstract (Basic): EP 1016971 A2

NOVELTY - Each processing cell (12) including a central processing unit (CPU) connected to an associated memory adapted to store data items. Each CPU generates an access request for accessing a data item, which includes a data item identifier for all of the data items in a system address space which is a unitary system address space. The type of request could be a retrieval or storage request type.

DETAILED DESCRIPTION - The system address space defines a number of pages at least one of which has a number of sub-pages, which contains at least one data item. Each sub-page maintained by a memory is associated with one of a number access states. The access states are an exclusive owner access state, invalid access state, non-exclusive access state and an atomic owner access state. The memory management system receives selected ones of the access requests, for using the data item identifier in each respective access request received to identify one of the processing cells whose memory has stored one of the sub-pages containing the data item identified by the data item identifier. A copy of the sub-page is transferred to the memory of the processing cell whose central processor unit generated the access request for storage in the memory.

INDEPENDENT CLAIMS are also included for the following: A digital data processing apparatus; and A method of operating a digital data processing apparatus.

 $\ensuremath{\mathsf{USE}}$  - For  $\ensuremath{\mathsf{multiprocessing}}$  systems with distributed hierarchical memory architectures.

ADVANTAGE - Provides an improved mutinous system with improved data coherency, as well as reduced latency, bus contention and also with unlimited scalability.

DESCRIPTION OF DRAWING(S) - The figure shows the structure of a  $\operatorname{multiprocessing}$  system.

Processing cells (12)

pp; 51 DwgNo 1/9

Title Terms: MEMORY; MANAGEMENT; DIGITAL; DATA; PROCESS; CONTAIN; NUMBER; PROCESS; CELL; DATA; ITEM; IDENTIFY; DATA; ITEM; SYSTEM; ADDRESS; SPACE; UNIT; SYSTEM; ADDRESS; SPACE

Derwent Class: T01

International Patent Class (Main): G06F-012/08

International Patent Class (Additional): G06F-015/16

File Segment: EPI

Manual Codes (EPI/S-X): T01-H03B; T01-H05B1; T01-H07C7; T01-H08

# 26/9/11 (Item 11 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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013638007 \*\*Image available\*\*
WPI Acc No: 2001-122215/200113

XRPX Acc No: N01-089690

Direct data transfer providing method for multiprocessing, involves transferring requested data to one local memory from the other,

independent of data segment transfer to main memory and directory storage

Patent Assignee: UNISYS CORP (BURS )

Inventor: BAUMAN M A; GILBERTSON R L; HAUPT M L Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week

Priority Applications (No Type Date): US 98218811 A 19981222 Patent Details:
Patent No Kind Lan Pg Main IPC Filing Notes
US 6167489 A 25 G06F-013/00

Abstract (Basic): US 6167489 A

NOVELTY - The requested data segment is transferred to the main memory and the directory storage, to revise the ownership status to reflect a change of ownership from the primary device to the secondary device. The requested data segment is transferred to the secondary local memory from primary memory based on data transfer request, independent of the transfer of segment to the main memory and directory storage.

DETAILED DESCRIPTION - The method involves requesting data transfer of the requested data segment in the primary local memory to the secondary local memory of the secondary device. The requested data segment is transferred from the primary local memory to the secondary local memory of the secondary device in response to the request. The ownership status of the requested data segment is determined by accessing one or more ownership status bits corresponding to the requested data segment from the directory storage. A direct transfer of the requested data segment from the primary device to the secondary device is allowed when the ownership bits establish the primary device as the current owner of the requested data segment. An INDEPENDENT CLAIM is also included for system bypass supervisory memory intervention for data transfer between devices having associated local memories.

USE - In cache memory schemes for **use** in **multiprocessing** of **data** processing systems, shared memory systems, large scale data processing system.

ADVANTAGE - Allows requested data segments stored in primary device to bypass the main memory and travel directly from its current local memory to requesting device. Provides for the independent return of the data segment from its current local memory to the main supervisory memory to maintain memory coherency.

 ${\tt DESCRIPTION}$  OF  ${\tt DRAWING(S)}$  - The figure shows the flow diagram of bypass buffering method.

pp; 25 DwgNo 9/9

Title Terms: DIRECT; DATA; TRANSFER; METHOD; MULTIPROCESSOR; TRANSFER; REQUEST; DATA; ONE; LOCAL; MEMORY; INDEPENDENT; DATA; SEGMENT; TRANSFER; MAIN; MEMORY; DIRECTORY; STORAGE

Derwent Class: T01

International Patent Class (Main): G06F-013/00

File Segment: EPI

Manual Codes (EPI/S-X): T01-H03A

? t26/9/13,15-17

#### 26/9/13 (Item 13 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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012520935 \*\*Image available\*\*
WPI Acc No: 1999-327041/199927

XRPX Acc No: N99-245304

Multiprocessor system with main memory having data ownership determination facility.

Patent Assignee: UNISYS CORP (BURS )

Inventor: BAUMAN M A; MORRISSEY D E; NILSON A J
Number of Countries: 083 Number of Patents: 008

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Patent No
                     Date
                              Applicat No
                                             Kind
                                                    Date
                                                              Week
              Kind
WO 9923566
                   19990514
                              WO 98US23509
                                                  19981104
                                                             199927
               Α1
                                              Α
AU 9913811
                   19990524
                             AU 9913811
                                              Α
                                                  19981104
                                                            199940
               Α
US 6052760
               Α
                   20000418
                              US 97964626
                                              Α
                                                  19971105
                                                            200026
                                                             200040
EP 1027655
                   20000816
                              EP 98957585
                                              Α
                                                  19981104
               A 1
                              WO 98US23509
                                              Α
                                                  19981104
                                                  19981104
                   20001003
                                                             200053
BR 9814846
               Α
                              BR 9814846
                                              Α
                              WO 98US23509
                                                  19981104
                                              Α
JP 2001522091
                   20011113
                              WO 98US23509
                                                  19981104
                                                             200204
                                              Α
                              JP 2000519359
                                                  19981104
                                              Α
EP 1027655
               B1
                   20040310
                              EP 98957585
                                              Α
                                                  19981104
                                                             200418
                              WO 98US23509
                                              Α
                                                  19981104
DE 69822320
                   20040415
                              DE 622320
                                              Α
                                                  19981104
                                                             200426
                              EP 98957585
                                              Α
                                                  19981104
                                                  19981104
                              WO 98US23509
                                              Α
Priority Applications (No Type Date): US 97964626 A 19971105
Patent Details:
                                      Filing Notes
Patent No Kind Lan Pg
                          Main IPC
              A1 E 33 G06F-012/08
WO 9923566
   Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU
   CZ DE DK EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR
   LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
   TR TT UA UG UZ VN YU ZW
   Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
   IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW
                       G06F-012/08
                                      Based on patent WO 9923566
AU 9913811
              Α
US 6052760
                       G06F-013/00
              Α
                                      Based on patent WO 9923566
EP 1027655
                        G06F-012/08
              A1 E
   Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI
   LU MC NL PT SE
                        G06F-012/08
                                      Based on patent WO 9923566
BR 9814846
              Α
JP 2001522091 W
                     37 G06F-015/177
                                      Based on patent WO 9923566
              B1 E
                        G06F-012/08
                                      Based on patent WO 9923566
EP 1027655
   Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI
   LU MC NL PT SE
                        G06F-012/08
DE 69822320
                                      Based on patent EP 1027655
                                      Based on patent WO 9923566
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Abstract (Basic): WO 9923566 A1

Patent Family:

NOVELTY - In the system when data is requested by a requesting agent the main memory checks the history information associated with the requested data unit before forwarding the requested data unit.

DETAILED DESCRIPTION - The multiprocessor system (100) has a memory hierarchy which provides data ownership based upon previous access patterns of a data unit (230). The memory hierarchy comprises a main memory (210) having a number data units, a number of caches (510) that operate independently of each other, and at least one coherent domain (530) interfaced to each cache. A requesting agent (510,540,550) is allowed by the system to request a data unit without specifying the type of ownership, where ownership may be exclusive or shared. The directory (610), which is used by the main memory for maintaining information about each data unit, includes history information that defines the previous access pattern of the requested data unit. The main memory checks, prior to forwarding the requested data unit to the requesting agent, the history information to determine what type of ownership to associate with the requested data unit. The requested data unit is then delivered to the requesting agent with ownership rights specified by the history information.

USE - The system provides data ownership based upon previous

access patterns of the data unit.

ADVANTAGE - The method of restricting access to data units based on history information that defines previous access patterns of requested data unit does not prevent efficient performance of software operations.

DESCRIPTION OF DRAWING(S) - The figure illustrates the embodiment of the directory based memory and cache system.

Main memory (210)
Data unit (230)
Cache memory (510)
Directory (610)
pp; 33 DwqNo 6/10

Title Terms: MULTIPROCESSOR; SYSTEM; MAIN; MEMORY; DATA; DETERMINE; FACILITY

Derwent Class: T01

International Patent Class (Main): G06F-012/08; G06F-013/00; G06F-015/177

File Segment: EPI

Manual Codes (EPI/S-X): T01-H03A; T01-H03D; T01-M02

## 26/9/15 (Item 15 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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011302655 \*\*Image available\*\* WPI Acc No: 1997-280560/199725

XRPX Acc No: N97-232502

Fault tolerant dual fetch and store method for multiprocessor system - involves protecting data stored in each of memory portions by interlocking data protection mechanism so that only one of requestors can access data previously stored at any one time

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC

Inventor: KRYGOWSKI M A; SUTTON A J

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 5630045 A 19970513 US 94349768 A 19941206 199725 B

Priority Applications (No Type Date): US 94349768 A 19941206

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 5630045 A 13 G06F-011/34

Abstract (Basic): US 5630045 A

The method involves performing an initialization step during which separate portions in the memory unit are set aside. Each portion is designated to each of the memory controllers for its use. Physical addresses of each the memory portion are storing in a logic array in the memory unit so that the memory portions can be accessed at any time. Data stored in each of the memory portions are protected by an interlocking data protection mechanism so that only one of the requestors can access the data previously stored at any one time. The interlocking data protection mechanism provides an exclusivity ownership access status for the unit of data so that it can only be accessed and used only by one requestor at any one time. Each of the memory controller process is allowed a fetch or a store request issued by the requestors concurrently, the memory controllers access data stored in their respective designated memory portion.

ADVANTAGE - Allows parallel stores in fault tolerant system. Improve performance and integrity fault tolerant system.

Dwq.5b/7

Title Terms: FAULT; TOLERATE; DUAL; FETCH; STORAGE; METHOD; MULTIPROCESSOR; SYSTEM; PROTECT; DATA; STORAGE; MEMORY; PORTION; INTERLOCKING; DATA; PROTECT; MECHANISM; SO; ONE; CAN; ACCESS; DATA; STORAGE; ONE; TIME

Derwent Class: T01

International Patent Class (Main): G06F-011/34

File Segment: EPI

Manual Codes (EPI/S-X): T01-G05C1

#### 26/9/16 (Item 16 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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010876112 \*\*Image available\*\* WPI Acc No: 1996-373063/199638

XRPX Acc No: N96-313887

Enforcing exclusive access to shared resources in multi - tasking computer - provides fast crash safe semaphore mechanism to enforce mutually exclusive access to shared resources, implemented at user level by providing common library code for all processes controlling acquisition and release of semaphores

Patent Assignee: AT & T IPM CORP (AMTT ); AT & T CORP (AMTT ); AMERICAN TELEPHONE & TELEGRAPH CO (AMTT ); LUCENT TECHNOLOGIES INC (LUCE ) Inventor: BOHANNON P L; GAVA J; LIEUWEN D F; SUDARSHAN S; SUDARSHAR S Number of Countries: 007 Number of Patents: 004 Patent Family:

| Patent No  | Kind | Date     | App | plicat No | Kind | Date     | Week   |   |
|------------|------|----------|-----|-----------|------|----------|--------|---|
| EP 727742  | A2   | 19960821 | EΡ  | 96300823  | A    | 19960207 | 199638 | В |
| CA 2165493 | A    | 19960818 | CA  | 2165493   | A    | 19951218 | 199650 |   |
| JP 8286935 | A    | 19961101 | JΡ  | 9627619   | А    | 19960215 | 199703 |   |
| US 5623670 | A    | 19970422 | US  | 95390179  | A    | 19950217 | 199722 |   |

Priority Applications (No Type Date): US 95390179 A 19950217 Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

EP 727742 A2 E 23 G06F-009/46

Designated States (Regional): DE FR GB SE

JP 8286935 A 27 G06F-009/46 US 5623670 A 22 G06F-013/14 CA 2165493 A G06F-015/78

Abstract (Basic): EP 727742 A

The computer comprises processors (15), and several shared resources, including a memory (30) accessible by each of the processors. Several **semaphore** records are stored in the memory, each of which is associated with one of the shared resources. The records include availability indicia indicating whether the record is available, owner identification registering ownership of the record, and cleanup indicia indicating whether the record is being evaluated by a cleaning mechanism.

Several processes are being executed on the processors requesting and acquiring exclusive access to the shared resources by requesting and acquiring ownership of the semaphore records. Several semaphore access records are stored in the memory, each one associated with one of the processes for identifying a semaphore record, which the process associated with the record wants to acquire ownership of. The clean-up mechanism determines whether a record is owned by a process which has crashed by using the information in the records and the access records.

ADVANTAGE - Provides for **semaphores** implemented at user level while allowing system to determine when failed process holds **semaphore** regardless of when process may have failed.

Dwg.1/8

Abstract (Equivalent): US 5623670 A

A computer system comprising:

at least one processing unit;

- a plurality of shared resources, including a memory unit, accessible by said at least one processing unit;
- a plurality of **semaphore** records stored in said memory unit, each of said **semaphore** records associated with one of said plurality of shared resources, wherein each of said **semaphore** records comprises:
  - a) availability indicia means for indicating whether the **semaphore** record is available,
- b) owner identification means for registering ownership of the **semaphore** record, and
- c) cleanup indicia for indicating whether the lsemaphore record is being evaluated by a cleanup routine;
- a plurality of processes executing on said plurality of processing units, said processes requesting and acquiring exclusive access to said plurality of shared resources by requesting and acquiring ownership of said semaphore records; and
- a plurality of semaphore access records stored in said memory unit, each of said semaphore access records associated with one of said plurality of processes, for identifying a semaphore record which said process associated with said semaphore access record wants to acquire ownership of;

wherein said cleanup routine comprises means for determining whether a **semaphore** record is owned by a process that has crashed by using information in said **semaphore** records and said **semaphore** access records and means for resetting the availability indicia of a **semaphore** record when the **semaphore** record is owned by a process that has crashed.

Dwg.6/8

Title Terms: ENFORCE; EXCLUDE; ACCESS; SHARE; RESOURCE; MULTI; COMPUTER; FAST; CRASH; SAFE; SEMAPHORE; MECHANISM; ENFORCE; MUTUAL; EXCLUDE; ACCESS; SHARE; RESOURCE; IMPLEMENT; USER; LEVEL; COMMON; LIBRARY; CODE; PROCESS; CONTROL; ACQUIRE; RELEASE

Derwent Class: T01

International Patent Class (Main): G06F-009/46; G06F-013/14; G06F-015/78 International Patent Class (Additional): G06F-015/16

File Segment: EPI

Manual Codes (EPI/S-X): T01-F02C; T01-H03D; T01-H08; T01-M02

# 26/9/17 (Item 17 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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010635543 \*\*Image available\*\*
WPI Acc No: 1996-132496/199614
XRPX Acc No: N96-111410

Multiprocessor system - operating on red structure i.e. in display component which shows number to be displayed corresp. to cache under one process

Patent Assignee: TOSHIBA KK (TOKE )

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
JP 8006805 A 19960112 JP 94132878 A 19940615 199614 B

Priority Applications (No Type Date): JP 94132878 A 19940615 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes 7 G06F-009/46 JP 8006805 Α Abstract (Basic): JP 8006805 A The system has an exclusion control range recognition unit which acknowledges the data shared and access in bind state process. The affiliation process recognises the execution of data shared and access and the number of data being shared and accessed is also acknowledged. The number to be displayed on a red structure such as display component is arranged such that proper light corresponds to it. A lock operation is performed in the critical domain which accesses the shared data owned jointly between by systems. ADVANTAGE - Excludes unnecessary lock control. Carries out effective use of processor by optimum lock control. Dwg.1/5 Title Terms: MULTIPROCESSOR; SYSTEM; OPERATE; RED; STRUCTURE; DISPLAY; COMPONENT; SHOW; NUMBER; DISPLAY; CORRESPOND; CACHE; ONE; PROCESS Derwent Class: T01 International Patent Class (Main): G06F-009/46 International Patent Class (Additional): G06F-015/16 File Segment: EPI Manual Codes (EPI/S-X): T01-H03D; T01-M02 ? t26/9/19,29 26/9/19 (Item 19 from file: 350) DIALOG(R) File 350: Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. \*\*Image available\*\* 008504986 WPI Acc No: 1991-009070/199102 XRPX Acc No: N91-007115 Dynamic resource pooling data processing system - management of computer system resources applied to multiple processes concurrent execution Patent Assignee: IBM CORP (IBMC ) Inventor: DONG M A; TREIBER R K Number of Countries: 004 Number of Patents: 003 Patent Family: Patent No Kind Date Applicat No Kind Date Week EP 405724 A 19910102 EP 90304688 19900430 199102 Α US 5093912 19920303 US 89371563 19890626 Α 199212 Α

Priority Applications (No Type Date): US 89371563 A 19890626

A3 19930224 EP 90304688

Cited Patents: NoSR.Pub; 6.Jnl.Ref

Patent Details:

EP 405724

Patent No Kind Lan Pg Main IPC Filing Notes

EP 405724 A

Designated States (Regional): DE FR GB

US 5093912 A 25

Abstract (Basic): EP 405724 A

The data processing system in which resource allocation is achieved by one of the available processors from a master resource pool of default capacity from which resources are allocated for use and to which used resources are returned when they have been freed by their current user, the master resource pool can then be expanded and

Α

19900430 199348

additional resources made available for allocation by being added to the master resource pool, from an extension resource pool, created when needed, these additional resources being tagged as belonging to that specific extension resource pool and are returned to it, rather than to the master pool, once freed and that extension resource pool is logically deleted once it has had all its resources returned to it, effectively contracting the master resource pool.

A management technique to manage a pool of resources in a multiprogramming or multiprocessing environment includes the expansion and contraction of the resource poll without making the mainline use of the resources inefficient and without requiring the use of latches or other extrinsic serialisation mechanisms in the mainline; thus this technique can be used by high performance programs that must be able to operate efficiently and by programs that must be able to get and free resources when executing in disabled mode or without interruption.

USE/ADVANTAGE - **Multiprocessing** and multiprogramming environments; minimises use of serialisation. (29pp Dwg.No.1/2) Abstract (Equivalent): US 5093912 A

The method involves expanding and contracting a resource pool dynamically, i.e. in response to demand for the resources. Resources are allocated for use from a single master pool (22). The overall pool of available resources is expanded by creating an extension pool and adding its resources to the master pool. The master pool can theoretically be expanded to the limits of the number of resources available in the system.

To contract the pool dynamically first one of the extension pools is selected to be freed (FREE...POOL 66). Those resources owned by the freed pool but still in the master pool are moved to the extension pool, preventing their reuse. Resources in use which are owned by the freed pool are labelled by changing their home address (52) so that they will be returned to the extension pool instead of to the master pool. Once all such resources have been returned to the freed extension pool it is deleted, thereby contracting the overall pool of resources.

USE - For data processing system.

Dwg.2/9

Title Terms: DYNAMIC; RESOURCE; DATA; PROCESS; SYSTEM; MANAGEMENT; COMPUTER; SYSTEM; RESOURCE; APPLY; MULTIPLE; PROCESS; CONCURRENT; EXECUTE

Derwent Class: T01

International Patent Class (Additional): G06F-009/46; G06F-012/00

File Segment: EPI

Manual Codes (EPI/S-X): T01-F02

# 26/9/29 (Item 29 from file: 347)

DIALOG(R) File 347: JAPIO

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03582357 \*\*Image available\*\*

ACQUISITION METHOD FOR OWNERSHIP OF MULTIPROCESSOR SYSTEM RESOURCES

PUB. NO.: 03-245257 [JP 3245257 A] PUBLISHED: October 31, 1991 (19911031)

INVENTOR(s): KUDO MASASHI

APPLICANT(s): NEC CORP [000423] (A Japanese Company or Corporation), JP

(Japan)

APPL. NO.: 02-043157 [JP 9043157] FILED: February 23, 1990 (19900223)

INTL CLASS: [5] G06F-015/16

JAPIO CLASS: 45.4 (INFORMATION PROCESSING -- Computer Applications)

JOURNAL: Section: P, Section No. 1305, Vol. 16, No. 39, Pg. 57, January 30, 1992 (19920130)

#### ABSTRACT

PURPOSE: To keep the balance between a peripheral device and a processor by performing the input/output request processing with preference for an input/ output task having a running level higher than an application task.

CONSTITUTION: A main storage 3 includes a bus 4 which connects the processors 1 and 2 to the storage 3 and stores the exclusive resources 13 and 14, a resources acquring means 5 which acquires the resources 13 and 14 via the spin lock control, a resources release means 6 which releases the acquires resources, a task running level setting means 7 the task running levels and allocates each task to its sets corresponding running level, and a resources processing running level transition means 8 which allocates the acquired tasks to each running level after the resources 13 and 14 are acquired via the spin lock control and then the task running levels are set for execution of the processing to those resources. Then a spin state is secured at a lower task running level even though a task 13 is set in a spin state by the processor 2 in a spin lock state. Therefore an input/output task having a higher running level than the task 13 receives an external interruption and processes an input/ output request with preference.

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       2:INSPEC 1969-2004/Jul W2
         (c) 2004 Institution of Electrical Engineers
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       8:Ei Compendex(R) 1970-2004/Jul W2
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         (c) 2004 The Gale Group
File 144: Pascal 1973-2004/Jul W2
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         (c) 2004 EBSCO Publishing
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              OR PROGRAMMES OR PROGRAMS OR ENTITY? OR ENTITIES OR ROUTINE?
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S6
             OR THREE OR TRIO OR THIRD OR 3RD) (1W) S3
S7
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S8
             BOUER? OR INOUIR? OR ENOUIR? OR ASK??? ? OR QUESTION??? ? OR -
S9
        29042
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             SING)
S10
          116
                S1(3N) (REUS???? ? OR REACQUISITION? OR REACCESS?)
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S17
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              OR SERVICE? ? OR METHOD? ? OR STATEMENT? ?)
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S18
             CE? ? OR UNIT OR UNITS)
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S20
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S26
          21
               S25/2000:2004
S27
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S28
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               RD (unique items)
 28/7/13
             (Item 2 from file: 2)
DIALOG(R) File
               2:INSPEC
(c) 2004 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: C1999-02-6150N-128
  Title: Selective write-update: a method to relax execution constraints in
a critical
             section
  Author(s): Jae Bum Lee; Chu Shik Jhon
  Author Affiliation: Dept. of Comput. Eng., Seoul Nat. Univ., South Korea
  Journal: IEICE Transactions on Information and Systems
        p.1186-94
  Publisher: Inst. Electron. Inf. & Commun. Eng,
  Publication Date: Nov. 1998 Country of Publication: Japan
  CODEN: ITISEF ISSN: 0916-8532
  SICI: 0916-8532(199811)E81D:11L.1186:SWUM;1-Z
 Material Identity Number: P713-1998-012
  Language: English
                      Document Type: Journal Paper (JP)
  Treatment: Practical (P)
  Abstract: In a shared memory multiprocessor, shared data are usually
 accessed
           in a critical section that is protected by a lock variable.
Therefore, the order of accesses by multiple processors to the shared data
                                                              of the lock
corresponds to the order of acquiring the
                                                  ownership
variable. The paper presents a selective write-update protocol, where data
modified in a critical section are stored in a write cache and, at a
                 point, they are transferred only to the processor that
 synchronization
will execute the critical section following the current processor. By
using OOLB
            synchronization
                                primitives , the next processor can be
determined at the execution time. We prove that the selective write-update
protocol ensures data coherency of parallel programs that comply with
release consistency, and evaluate the performance of the protocol by
analytical modeling and program driven simulation. The simulation results
```

show that our protocol can reduce the number of coherence misses in a

interconnection network. In addition, we

section while avoiding the multicast of write-update requests

observe

critical

synchronization latency can be decreased by reducing both the execution time of a critical section and the number of write-update requests. From the simulation results, it is shown that our protocol provides better performance than a write-invalidate protocol and a write-update protocol as the number of processors increases. (16 Refs)

Subfile: C

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### 28/7/15 (Item 4 from file: 2)

DIALOG(R) File 2: INSPEC

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5119699 INSPEC Abstract Number: C9601-6150J-009

Title: Recoverable user-level mutual exclusion

Author(s): Bohannon, P.; Lieuwen, D.; Silberschatz, A.; Sudarshan, S.; Gava, J.

Author Affiliation: AT&T Bell Labs., Murray Hill, NJ, USA

Conference Title: Proceedings. Seventh IEEE Symposium on Parallel and Distributed Processing (Cat. No.95TB8131) p.293-301

Publisher: IEEE Comput. Soc. Press, Los Alamitos, CA, USA

Publication Date: 1995 Country of Publication: USA xvii+724 pp.

ISBN: 0 8186 7195 5

U.S. Copyright Clearance Center Code: 1063-6374/95/\$04.00

Conference Title: Proceedings of Seventh IEEE Symposium on Parallel and Distributed Processing

Conference Sponsor: IEEE Comput Soc. Tech. Committee on Comput. Architecture; IEEE Comput. Soc. Tech. Committee on Distributed Process.; IEEE Comput. Soc. Dallas Chapter

Conference Date: 25-28 Oct. 1995 Conference Location: San Antonio, TX, USA

Language: English Document Type: Conference Paper (PA)

Treatment: Practical (P)

Mutual primitives based on user-level atomic Abstract: exclusion instructions (often called spin locks) have proven to be much more efficient than operating-system semaphores in situations where the semaphore is low. However, many of these spin lock contention on the schemes do not permit registration of ownership to be carried aut acquisition , potentially leaving the with undetermined if a process dies (or makes very slow progress) at a critical point in the registration code. We present an algorithm which can ensure the successful registration of ownership of a spin lock, regardless of where processes fail. Thus, our spin lock implementation is 'recoverable'. The determination of a spin lock's ownership can potentially be used to restore resources protected by the spin lock to consistency and then release the spin lock. Other processes using the lock can then continue to function normally, improving fault resiliency for the application. Our algorithm provides very fast lock acquisition when the acquisition is uncontested (comparable in speed to a simple test-and-set based spin lock), and we prove it works even on the weak memory consistency models implemented by many modern multiprocessor computer systems. (14 Refs)

Subfile: C

Copyright 1995, IEE

#### 28/7/17 (Item 6 from file: 2)

DIALOG(R)File 2:INSPEC

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03569538 INSPEC Abstract Number: B90017632, C90013899

#### Title: Shared memory in transputer systems

Author(s): Knowles, A.E.

Author Affiliation: Dept. of Comput. Sci., Manchester Univ., UK

Conference Title: IEE Colloquium on 'Transputer Applications' (Digest No.129) p.1/1-4

Publisher: IEE, London, UK

Publication Date: 1989 Country of Publication: UK 36 pp.

Conference Sponsor: IEE

Conference Date: 13 Nov. 1989 Conference Location: London, UK

Language: English Document Type: Conference Paper (PA)

Treatment: Applications (A)

Abstract: Although the use of shared memory goes against the ethos of the Occam model of computation, there are cases which justify its use in transputer systems. The author presents three such cases which arose within the ParSiFal Alvey project at Manchester University. Each has its own justification for the use of shared memory and uses it in a different way. In the first instance it was used as the vehicle for communication at an acceptable speed between a SUN system providing access to filestore and user console I/O. Secondly the bandwidth limitations of transputer links was overcome in the development of a card to allow real-time animation on a high resolution screen connected via links to a transputer network. Finally, advantage was taken of the clustering of transputers in the ParSiFal T-rack to provide alternative, higher speed, communication channels between transputers in a cluster by providing shared memory to which they all have access. Special care was exercised to allow the preservation of strict synchronisation between the communicating processes. (5 Refs)

Subfile: B C

## 28/7/20 (Item 9 from file: 2)

DIALOG(R) File 2: INSPEC

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02777359 INSPEC Abstract Number: C87002339

# Title: Fault-tolerant control of access to shared data in cached-DASD storage systems

Journal: IBM Technical Disclosure Bulletin vol.28, no.12 p.5457-9

Publication Date: May 1986 Country of Publication: USA

CODEN: IBMTAA ISSN: 0018-8689

Language: English Document Type: Journal Paper (JP)

Treatment: Practical (P)

Abstract: When two heat processors share data, a processor which is updating a portion of it must prevent the other processor from reading or updating that portion. A cached-DASD (direct-access storage device) system includes storage directors which place data into a subsystem memory or cache having a test-and-set register (TS), so that one processor only may 'own' TS and its data at once. The paper addresses the problem which arises when, due to a communication failure, the limiting caching or TS accessing director becomes nonoperational in that it prevents access to cache by the other director. The solution is to allow any operational director to 'steal' TS from the accessing director. This action requires a limited caching storage director to check before each directory access that it still 'owns' TS since the other storage director may have 'stolen' it. (0 Refs)

Subfile: C ? t28/7/27

# 28/7/27 (Item 1 from file: 8) DIALOG(R)File 8:Ei Compendex(R)

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05206035 E.I. No: EIP99014537990

Title: Selective write-update: A method to relax execution constraints in a critical section

Author: Lee, Jae Bum; Jhon, Chu Shik

Corporate Source: Seoul Natl Univ, Seoul, South Korea

Source: IEICE Transactions on Information and Systems v E81-D n 11 Nov 1998. p 1186-1194

Publication Year: 1998

CODEN: ITISEF ISSN: 0916-8532

Language: English

Document Type: JA; (Journal Article) Treatment: A; (Applications); T;

(Theoretical)

. . . . .

Journal Announcement: 9903W2

Abstract: In a shared-memory multiprocessor, shared data are usually accessed in a critical section that is protected by a lock variable. Therefore, the order of accesses by multiple processors to the shared data corresponds to the order of acquiring the ownership of the lock variable. This paper presents a selective write-update protocol, where data modified in a critical section are stored in a write cache and, at a synchronization point, they are transferred only to the processor that will execute the **critical section** following the current processor. By using QOLB **synchronization primitives**, the next processor can be determined at the execution time. We prove that the selective write-update protocol ensures data coherency of parallel programs that comply with release consistency, and evaluate the performance of the protocol by analytical modeling and program-driven simulation. The simulation results show that our protocol can reduce the number of coherence misses in a section while avoiding the multicast of write-update requests on an interconnection network. In addition, we observe that synchronization latency can be decreased by reducing both the execution section and the number of write-update requests. time of a critical From the simulation results, it is shown that our protocol provides better performance than a write-invalidate protocol and a write-update protocol as the number of processors increases. (Author abstract) 21 Refs.

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      16:Gale Group PROMT(R) 1990-2004/Jul 21
         (c) 2004 The Gale Group
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File 148:Gale Group Trade & Industry DB 1976-2004/Jul 21
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File 160:Gale Group PROMT(R) 1972-1989
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File 275: Gale Group Computer DB(TM) 1983-2004/Jul 21
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                THREAD?? ? OR PROCESSES OR JOB? ? OR TASK?? ? OR TASKING? ?
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       171390
                (MANY OR MULTIPLE OR MULTI OR SEVERAL OR NUMEROUS OR PLURA-
             LIT? OR MULTIPLE OR MULTITUD? OR PLURIF? OR BETWEEN) (1W) S3
                (VARIOUS OR VARIETY OR GROUP????? ? OR CLUSTER? ? OR NUMBER
S5
       125174
             OR SET OR SETS OR CHAIN? ? OR SERIES) (1W) S3
                (TWO OR SECOND OR SECONDARY OR PAIR OR 2ND OR BOTH OR DUAL
S6
       139446
             OR THREE OR TRIO OR THIRD OR 3RD) (1W) S3
S7
       416024
                LOCK OR LOCKS OR LOCKED OR LOCKING OR GHOSTLOCK? OR METALO-
             CK? OR SLEEPLOCK? OR SPINLOCK?
                S1(3N) (INQUIR? OR QUERY? OR QUERIE? ? OR INTERROGAT? OR SU-
        64902
S8
             BQUER? OR INQUIR? OR ENQUIR? OR ASK??? ? OR QUESTION??? ? OR -
             SEEK??? ?)
S9
                S1(3N) (ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USING
       350997
              OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACCES-
             SING)
S10
          959
                S1(3N) (REUS????? ? OR REACQUISITION? OR REACCESS?)
S11
        14424
                MUTUAL?(1W)(EXCLUS? OR EXCLUD?) OR MUTEX? OR MUT()(EX OR E-
             XES OR EXING OR EXED)
S12
         3564
                SEMAPHORE? OR EVENTSEMAPHORE?
                CRITICAL()SECTION? ? OR CRITICAL()CODE? ?()SECTION? ?
S13
          839
                KERNELOBJECT? OR EVENTOBJECT? OR (KERNEL OR EVENT) () OBJECT?
S14
          304
S15
       157578
                RESOURCE? ?(1W) (MANAGE? OR MANAGING? OR DISPENS?)
       170694
S16
                SYNCHRONIS? OR SYNCHRONIZ?
S17
                S16(2N) (MECHANISM? OR OBJECT? ? OR STATE? ? OR PRIMITIVE? ?
         7160
              OR SERVICE? ? OR METHOD? ? OR STATEMENT? ?)
S18
                S16(2N)(OPERATION? ? OR FUNCTION? ? OR PROCESS?? ? OR DEVI-
             CE? ? OR UNIT OR UNITS)
S19
     10910760
                DATA OR RESOURCE OR RESOURCES
S20
      1066006
                S19(3N) (ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USI-
             NG OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACC-
             ESSING)
S21
         1766
                S8:S10(S)(S2 OR MULTIPROCESS? OR S4:S6 OR MULTI()PROCESS?)
S22
                S21(S)(S11:S15 OR S17:S18)
```

27/3,K/3 (Item 2 from file: 160)
DIALOG(R)File 160:Gale Group PROMT(R)
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01485644

HITACHI INTRODUCE THE SMART APPROACH TO MULTI PROCESSOR COMMUNICATIONS.
NEWS RELEASE August 25, 1986 p. 11

... dual port RAM (SDPRAM), a highly intelligent DPRAM, which dramatically improves the communication efficiency of multi - processor systems by providing a communication path which is far superior in processing speed and functions...

... microprocessor bus interfaces which make it suitable for a particularly wide range of applications; additionally **semaphore** registers, which support **multi - processing**, control RAM access to provide an efficient means of controlling **access ownership**. Other features of the new smart DPRAM include four programmable FIFO status pins, which provide...

27/3,K/5 (Item 2 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2004 The Gale Group. All rts. reserv.

01674922 SUPPLIER NUMBER: 15056167 (USE FORMAT 7 OR 9 FOR FULL TEXT) A Windows NT C++ class for asynchronous I/O. (programming techniques) (includes related articles on asynchronous input/output details and use of Win32 application programming interface synchronization objects) (Tutorial) (Technical)

Tomlinson, Paula

Windows-DOS Developer's Journal, v5, n3, p25(14)

March, 1994

DOCUMENT TYPE: Technical ISSN: 1059-2407 LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 5347 LINE COUNT: 00426

 $\ldots$  when the object is signaled and are blocked when the object is not signaled.

A mutex (from the phrase " mutual exclusion ") is like a single-lane bridge that only one thread at a time can cross. If one thread successfully acquires ownership of a specific mutex, then no other threads can own it until the first thread releases it. Mutexes can be used to protect a global resource from being accessed by multiple threads at the same time. Note that a mutex (like a semaphore and an event) doesn't actually protect the resource directly; all threads wishing to access the resource must agree not to do so unless they have ownership of that particular mutex. Mutexes can be shared between processes.

Semaphores are like variable-lane bridges that allow a **number** of **threads** to cross at the same time. You specify how **many threads** can own the **semaphore** when you initialize it. Once the maximum **number** of **threads** have **acquired ownership** of a **semaphore**, other threads trying to own it are denied ownership until one of the owning threads releases it. **Semaphores** can be shared **between processes**.

Events are more like public broadcasts or triggers. Events are often

used when one thread...

27/3,K/7 (Item 4 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)

(c) 2004 The Gale Group. All rts. reserv.

01629416 SUPPLIER NUMBER: 14622238 (USE FORMAT 7 OR 9 FOR FULL TEXT)
The fundamentals. (part 2) (Writing OpenVMS Privileged Code.) (Tutorial)

Goatley, Hunter; Heinrich, Edward A.

Digital Systems Journal, v15, n6, p38(4)

Nov-Dec, 1993

DOCUMENT TYPE: Tutorial ISSN: 1067-7224 LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 3391 LINE COUNT: 00272

... and one of the most commonly used, the I/O database.

Like a spinlock, a mutex is a flag that indicates whether or not a code thread is accessing the structure. The low word of the 31-bit mutex consists of the ownership count for a mutex; the high word consists of a status word, indicating whether or not the mutex has been acquired for writing. In OpenVMS/VAX, the mutex count field is initialized to -1 so that a single TSTW instruction can be used to determine if the mutex is unowned, has exactly one owner, or is being accessed by multiple code threads. The global longword for the I/O database mutex has the symbolic name IOC\$GL...

... MUTEX . Likewise, the logical name table mutex is LNM\$AL...

... MUTEX , the paged dynamic memory mutex is EXE\$GL

# 27/3,K/8 (Item 5 from file: 275)

DIALOG(R) File 275: Gale Group Computer DB(TM)

(c) 2004 The Gale Group. All rts. reserv.

01509494 SUPPLIER NUMBER: 12048390 (USE FORMAT 7 OR 9 FOR FULL TEXT) Abstract data types in C. (hidden data structures increase reusability, portability and readability) (Technical)

Ford, Dan

Computer Language, v9, n5, p59(7)

May, 1992

DOCUMENT TYPE: Technical ISSN: 0749-2839 LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 2798 LINE COUNT: 00212

... common operations is not scattered or duplicated throughout the system. Another benefit is that, in multitasking systems, the abstract data type provides a convenient place to put semaphores that can allow it to perform its own serialized access to the data. That is, abstract data types can invisibly provide mutual exclusion if multiple processes need to access the same instance of the abstract data type.

Third, the approach encourages...

27/3,K/9 (Item 6 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)

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01362721 SUPPLIER NUMBER: 08544538 (USE FORMAT 7 OR 9 FOR FULL TEXT) OS/2 Version 2.0: exploiting the 32-bit architecture of 80386- and

#### 80486-based systems.

Duncan, Ray

Microsoft Systems Journal, v5, n3, p1(14)

May, 1990

ISSN: 0889-9932 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 6793 LINE COUNT: 00528

 $\ldots$  that uses semaphores and signals will need to be rethought and rewritten.

The 16-bit semaphore API has proven somewhat troublesome because it supports three subtly different types of semaphores -system semaphores , RAM semaphores , and Fast-safe RAM semaphores . Furthermore, the first two types can be used in two incompatible ways: for signaling an event, in which case the semaphore is either set or cleared, or for mutual exclusion , after which the semaphore is considered to be either owned or not owned. Overlap and ambiguity in the 16-bit AIPI functions adds to the confusion. For example, DosSemRequest acquires ownership of a semaphore , while DosSemSet sets a semaphore , but DosSemClear is used either to clear a **semaphore** used for signaling, or to release of a semaphore used for mutual exclusion. The RAM semaphoralso be combined into lists, which require the use of still other exclusion . The RAM semaphores special-purpose API functions, but only when the **semaphores** are used for signaling! Another chronic problem in the 16-bit **semaphore** API has been the status of system semaphores as a critical and often application limiting resource. System semaphores are valuable because they support counting or nested request calls, they are not swappable, they...

...and the operating system assists with clean up if a process dies owning a system **semaphore**. But these characteristics also ensure that relatively few system **semaphores** can be available in OS/2 1.x. System **semaphores** must be located below the 640kb boundary, and thus take away memory from the DOS...

...environment. In addition, OS/2 itself must use a large proportion of the available system semaphores. The 32-bit semaphore API solves these historical problems by tossing out the olds emaphore classes and functions completely. It defines three new types of semaphores - mutual exclusion (mutex) semaphores, event (signaling) semaphores, and multiple-wait (muxwait) semaphores and three new, disjoint sets of API functions to manipulate them. There is no longer any distinction between RAM semaphores and system semaphores, although it remains possible to create an anonymous semaphore that another process can't access; all semaphores are controlled by the system, are shareable, and can be cleaned up. There is also no longer any chance of two processes or threads coming into conflict in the way they access a semaphore; if the wrong API call is used with a particular semaphore, an error code will be returned but no other damage will be done.

Signals as...

27/3,K/10 (Item 7 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01208785 SUPPLIER NUMBER: 06077780 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Multiple tasks. (multitasking with OS-2) Armbrust, Steven; Forgeron, Ted

PC Tech Journal, v5, n11, p90(9)

Nov, 1987

ISSN: 0738-0194 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 6693 LINE COUNT: 00517

... In the third case, the call returns immediately, indicating if the semaphore is currently owned.

Multiple threads can request ownership of the same semaphore . If the threads choose to wait (instead of returning immediately), the ones that do not immediately gain access to the semaphore continue to wait until the owning thread releases the semaphore . When this happens, the waiting threads are dispatched again, and the highest-priority waiting thread that has been waiting the longest becomes the new owner .

Using a semaphore to represent ownership of some resource enables threads to read or write sensitive data areas...

...Another thread reads the database and displays the aircraft positions on a screen. Without a **semaphore** guarding the database, the thread that writes the coordinates could write an airplane's x...

...could happen if the reading thread was interrupted before it read all three coordinates. Using **semaphores**, each thread requests ownership of the **semaphore** before reading or writing the database. Each would clear the **semaphore** after accessing the database to give other threads a chance in order to use or...

```
File 696:DIALOG Telecom. Newsletters 1995-2004/Jul 20
         (c) 2004 The Dialog Corp.
File
      15:ABI/Inform(R) 1971-2004/Jul 20
         (c) 2004 ProQuest Info&Learning
      98:General Sci Abs/Full-Text 1984-2004/Jun
File
         (c) 2004 The HW Wilson Co.
File 484: Periodical Abs Plustext 1986-2004/Jun W4
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File 613:PR Newswire 1999-2004/Jul 21
         (c) 2004 PR Newswire Association Inc
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         (c) 2004 ProQuest Info&Learning
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         (c) 2004 The Dialog Corp.
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         (c) 2004 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2004/Jul 20
         (c) 2004 San Jose Mercury News
File 647:CMP Computer Fulltext 1988-2004/Jul W2
         (c) 2004 CMP Media, LLC
File 674: Computer News Fulltext 1989-2004/Jun W4
         (c) 2004 IDG Communications
Set
        Items
                Description
S1
     10204579
                OWN OR OWNS OR OWNED OR OWNER? OR OWNING OR COOWN?
                MULTIJOB? ? OR MULTIPROCESS?? ? OR MULTITHREAD? OR MULTITA-
S2
        31106
             SK?
S3
                THREAD?? ? OR PROCESSES OR JOB? ? OR TASK?? ? OR TASKING? ?
      9318882
              OR PROGRAMMES OR PROGRAMS OR ENTITY? OR ENTITIES OR ROUTINE?
S4
                (MANY OR MULTIPLE OR MULTI OR SEVERAL OR NUMEROUS OR PLURA-
       155171
             LIT? OR MULTIPLE OR MULTITUD? OR PLURIF? OR BETWEEN) (1W) S3
S5
       142579
                (VARIOUS OR VARIETY OR GROUP????? ? OR CLUSTER? ? OR NUMBER
             OR SET OR SETS OR CHAIN? ? OR SERIES) (1W) S3
       143727
                (TWO OR SECOND OR SECONDARY OR PAIR OR 2ND OR BOTH OR DUAL
S6
             OR THREE OR TRIO OR THIRD OR 3RD) (1W) S3
S7
                LOCK OR LOCKS OR LOCKED OR LOCKING OR GHOSTLOCK? OR METALO-
       524128
             CK? OR SLEEPLOCK? OR SPINLOCK?
S8
       706552
                S1(3N)(INQUIR? OR QUERY? OR QUERIE? ? OR INTERROGAT? OR SU-
             BQUER? OR INQUIR? OR ENQUIR? OR ASK??? ? OR QUESTION??? ? OR -
             SEEK??? ?)
S9
       707791
                S1(3N)(ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USING
              OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACCES-
             SING)
S10
          670
                S1(3N)(REUS????? ? OR REACQUISITION? OR REACCESS?)
S11
                MUTUAL?(1W) (EXCLUS? OR EXCLUD?) OR MUTEX? OR MUT() (EX OR E-
        18617
             XES OR EXING OR EXED)
S12
         2289
                SEMAPHORE? OR EVENTSEMAPHORE?
S13
          497
                CRITICAL()SECTION? ? OR CRITICAL()CODE? ?()SECTION? ?
                KERNELOBJECT? OR EVENTOBJECT? OR (KERNEL OR EVENT) () OBJECT?
S14
          137
```

| S15 | 159984   | RESOURCE? ?(1W) (MANAGE? OR MANAGING? OR DISPENS?)          |  |  |  |  |
|-----|--|---|--|--|--|--|
| S16 | 109403   | SYNCHRONIS? OR SYNCHRONIZ?                                  |  |  |  |  |
| S17 | 4231   | S16(2N)(MECHANISM? OR OBJECT? ? OR STATE? ? OR PRIMITIVE? ? |  |  |  |  |
|     | OR SERVICE? ? OR METHOD? ? OR STATEMENT? ?)                    |   |  |  |  |  |
| S18 | 6515   | S16(2N)(OPERATION? ? OR FUNCTION? ? OR PROCESS?? ? OR DEVI- |  |  |  |  |
|     | CE? ? OR UNIT OR UNITS)  |   |  |  |  |  |
| S19 | 8544615  | DATA OR RESOURCE OR RESOURCES                               |  |  |  |  |
| S20 | 735077   | S19(3N)(ACQUIR? OR REACQUIR? OR USE OR USES OR USED OR USI- |  |  |  |  |
|     | NG OR USAGE? ? OR ACQUISITION? OR ACCESS OR ACCESSE? ? OR ACC- |   |  |  |  |  |
|     | ES   | SING)   |  |  |  |  |
| S21 | 1111   | S8:S10(S)(S2 OR MULTIPROCESS? OR S4:S6 OR MULTI()PROCESS?)  |  |  |  |  |
| S22 | 7  | S21(S)(S11:S15 OR S17:S18)                                  |  |  |  |  |
| S23 | 13   | S21(S)S11:S18   |  |  |  |  |
| S24 | 13   | S22:S23   |  |  |  |  |
| S25 | 6  | S24/2000:2004   |  |  |  |  |
| S26 | 7  | S24 NOT S25   |  |  |  |  |
| S27 | 7  | RD (unique items)   |  |  |  |  |
|     |  | ·   |  |  |  |  |
|     |  |   |  |  |  |  |

# **Patent Assignment Abstract of Title**

**Total Assignments: 1** 

Application #: 09480390 Filing Dt: 01/11/2000 Patent #: NONE Issue Dt:

PCT #: NONE Publication #: NONE Pub Dt:

Inventor: Michael P. Wagner

Title: System, Device, and method for providing mutual exclusion for computer system resources

Assignment: 1

**Reel/Frame:** 010599/0639 Received: Recorded: Mailed: Pages: 02/14/2000 05/08/2000 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: WAGNER, MICHAEL P. Exec Dt: 02/03/2000

Assignee: <u>EMC CORPORATION</u>

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